

# The Ubit Model in Real-Vector-Space Quantum Theory

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## Abstract

We explore a model of the world based on real-vector-space quantum theory. In our model the familiar complex phase appearing in quantum states is replaced by a single binary object that we call the ubit, which is not localized and which can interact with any object in the world. Ordinary complex-vector-space quantum theory can be recovered from this model if we simply impose a certain restriction on the sets of allowed measurements and transformations (Stueckelberg's rule), but in this paper we try to obtain the standard theory, or a close approximation to it, *without* invoking such a restriction. We look particularly at the effective theory that applies to a subsystem when the ubit is interacting with a much larger environment. In a certain limit it turns out that the ubit-environment interaction has the effect of enforcing Stueckelberg's rule automatically, and we obtain a one-parameter family of effective theories—modifications of standard quantum theory—that all satisfy this rule. The one parameter is the ratio  $s/\omega$ , where  $s$  quantifies the strength of the ubit's interaction with the rest of the world and  $\omega$  is the ubit's rotation rate. We find that when this parameter is small but not zero, the effective theory is similar to standard quantum theory but is characterized by spontaneous decoherence of isolated systems.

## I. INTRODUCTION: REAL AND COMPLEX QUANTUM THEORY

Standard quantum theory is based on a complex Hilbert space: density matrices, observables and reversible transformations are all represented by linear operators on such a space. However, it has been known since the early days of quantum mechanics that many features of the theory are shared by two alternative, hypothetical theories, in which the complex Hilbert space is replaced by either a real or a quaternionic Hilbert space. For example, in their analysis of the logical structure of quantum theory in 1936, Birkhoff and von Neumann noted explicitly that their postulates, which were intended to capture this logical structure, would be satisfied just as well by the real and quaternionic models as by the standard complex theory [1]. To be sure, from an empirical point of view there has hardly been any contest among these three theories: the complex version has survived every test, and no experiment has been done that requires either the real theory or the quaternionic theory for its explanation. Nevertheless, over the years researchers have sought a more fundamental understanding—not merely an empirical understanding—of the origin of the complex structure [2–14].

One avenue of investigation along these lines was carried out around 1960 by Stueckelberg [3, 4]. He began with the representation of probabilities as squares of real amplitudes, treating this step as a natural generalization of ordinary probability theory. He felt that one needed to explain the complex structure somehow, starting from a real Hilbert space. In order to provide such an explanation he imposed the requirement that the theory admit an uncertainty principle of a specific form. This requirement led him to introduce a special operator  $J$  to be used in the expression of the uncertainty principle. The operator  $J$  has the property that its square is the negative of the identity operator [32], and the uncertainty principle then holds if one requires every observable to commute with  $J$ . With this restriction on the observables, the theory becomes equivalent to standard complex quantum theory, with Stueckelberg’s special operator playing the role of the complex number  $i$ . We will say that a real operator satisfies “Stueckelberg’s rule” if it commutes with  $J$ .

In the present paper we take the real-vector-space theory seriously as a potential theory of nature and, like Stueckelberg, we consider the possibility that the complex structure is somehow to be located or imbedded in the real theory. However, we do not want simply to impose Stueckelberg’s rule. Rather, we ask whether the complex structure might emerge

dynamically in a particular model. To get started we re-express Stueckelberg's construction in the setting of basic quantum theory with a finite-dimensional state space. The finite-dimensional case requires a step that was not needed in Stueckelberg's work: we have to double the Hilbert-space dimension. That is, to model an ordinary quantum system with an  $N$ -dimensional state space, we need a real Hilbert space of  $2N$  dimensions.

Suppose, for example, that one wants to describe only the spin of a spin-1/2 particle. A real state vector in a two-dimensional state space is clearly inadequate. To get all the allowed quantum states, one needs to double the dimension to four. But a four-dimensional real vector space is not the same as a two-dimensional complex space: one needs three real numbers to specify a rank-one projection operator (a pure state) in the real space, but only two real numbers to specify such an operator in the complex space (these could be the two angular coordinates of the Bloch sphere). In order to restrict the set of states, one can impose a version of Stueckelberg's rule, namely, that all density matrices commute with the matrix

$$J \otimes I = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} \otimes \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} = \begin{pmatrix} 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & -1 \\ 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \end{pmatrix}, \quad (1)$$

which has the property that  $(J \otimes I)^2 = -I \otimes I$ . (We find it convenient to use the symbol  $J$  for the  $2 \times 2$  matrix rather than for the larger matrix as in Stueckelberg's papers or in the mathematical literature on complex structures.) As we will see in Section II, the theory that results from applying this restriction not only to states but also to measurements and transformations is equivalent to standard quantum theory for this system, and a similar result holds for any other system, regardless of the dimension of the state space. One always needs to double the dimension in order for the real-vector-space theory to be able to accommodate all the states and operations of the complex theory, and then one needs to impose a restriction so as to limit the sets of states and operations in the right way.

Now, doubling the dimension corresponds to adding to the system a single binary quantum object. So we can say that the spin of a spin-1/2 particle, that is, a qubit, is equivalent to a binary real-vector-space object—a rebit—together with an auxiliary rebit, such that the whole system obeys Stueckelberg's rule. One might initially think, then, that to describe the spins of  $n$  spin-1/2 particles, one would need, in addition to the  $n$  basic rebits, another  $n$

auxiliary rebits to turn them all into qubits. But it is clear that this is not the case; a single auxiliary rebit is sufficient for the whole system, because it is all that is needed to double the dimension. This fact has been noted by a number of authors [16–19]. For example, it has been shown that a quantum computation using  $n$  qubits can be simulated efficiently by a real-vector-space quantum computation using only  $n + 1$  rebits [16, 17].

We are thus led to consider the following model. Every system is to be described as a quantum object in a real vector space, with the same dimension it would normally have in the complex theory, and in addition, there is a single auxiliary rebit. We call this auxiliary rebit the universal rebit, or ubit, because in this model it needs to be able to interact with every object in the world. By invoking Stueckelberg’s rule, we could, not surprisingly, make our model equivalent to standard quantum theory, as we will show in Section II. However, as we have said, in this paper we want to take a different tack: we ask whether we can arrive at ordinary quantum theory, or an approximation to ordinary quantum theory, *without* invoking Stueckelberg’s rule. If the ubit is interacting with everything, then no local observer will be able to control its interactions with distant objects. It is conceivable that this uncontrollability could lead to an *effective* theory that approximates ordinary quantum theory, even though the underlying theory is the real-vector-space theory. Whether such an approximation is possible is the question with which we begin our investigation.

One finds that with no further assumptions, a random interaction between the ubit and a large environment does not reproduce standard quantum theory. Rather, the ubit quickly factors out of the system and becomes irrelevant, and one is left with ordinary real-vector-space quantum theory with no ubit, a theory that is in serious conflict with experiment. However, the results we present in this paper indicate that if the ubit is *rotating* sufficiently rapidly in its two-dimensional real vector space (rotation is the only internal dynamics possible for this simple system), then one does recover an effective theory that is very much like ordinary quantum theory. Our main goal in this paper is to begin to discern the features of this effective theory. One feature we might expect to see, and we do indeed see, is that an isolated system can undergo spontaneous decoherence with an associated increase in entropy, even when no decoherence would be predicted by standard quantum theory. One expects such decoherence because, although the system may be isolated in the sense that it does not experience any ordinary interactions, the ubit is never isolated and can therefore serve as a conduit of information to the rest of the world.

It may seem quite fanciful to imagine a special rebit with no particular location, associated with the universe as a whole. Indeed in this paper we are not prepared to offer any interpretation of this object beyond what the mathematics implies. But we note that ordinary quantum theory does have a feature that is something like the ubit. For a quantum system with definite energy  $E$ , even if it is a spatially extended system with many parts, the time dependence of the Schrödinger wavefunction is expressed in an overall factor  $e^{-iEt/\hbar}$  multiplying the rest of the wavefunction. It is interesting that there is only one such time-dependent phase factor for the whole system, not one for each part. (Each part may not have a definite energy of its own.) And the phase factor does represent a kind of rotation in a two-dimensional real vector space, namely, the complex plane. In our real-vector-space model there is no phase factor, but in its place there is the ubit, with its own internal dynamics (that is, the rotation) and its own interactions with other systems.

One might worry that our model is immediately suspect in that it seems to allow instantaneous communication over an arbitrary distance: a sender Alice could allow her particle  $A$  to interact with the ubit, which immediately interacts with particle  $B$  in a distant galaxy, delivering Alice's message to Bob (who has somehow managed to be there). In this paper, though, we focus particularly on a limiting case in which it seems that such instantaneous communication cannot occur. There are three relevant frequency scales in the model: (i) the rotation rate of the ubit, (ii) the typical strength of interaction between the ubit and the large environment, and (iii) the typical frequency scale of the local dynamics. We focus our attention on the case in which the first two of these frequency scales approach infinity with a fixed ratio, while the third remains finite. In this limit we use a heuristic argument to obtain a reduced dynamics of the local system. Under our reduced dynamics we automatically recover Stueckelberg's rule for the states and transformations, which we show prevents instantaneous signaling through the ubit. The ratio between the strength  $s$  of the ubit's interaction with the environment and the ubit's rotation rate  $\omega$  serves as a single parameter that characterizes the effective theory. When that ratio is zero, our results indicate that one recovers ordinary quantum theory. Our primary interest in this paper is the case when the ratio is small but not zero.

Though our model does not allow instantaneous communication under the conditions we consider, the model itself is manifestly nonlocal and quite contrary to the spirit of special relativity (not to mention general relativity). At any given value of the universal time

coordinate, the ubit’s state changes everywhere in the same way. One can imagine making the model local by replacing the ubit with a “ubit field.” It is interesting to ask whether such a change would ruin the partial agreement we will find with standard quantum theory. As we will see, it is important in our model that the ubit be interacting with a large environment. Over any short time interval, a *ubit field* at a given location would interact with an environment of limited extent, so the “beneficial” environmental effects that we rely on would also be limited. We do not explore this question in the present paper but confine our attention to the simple model with a single, binary ubit. Despite the underlying nonlocality, the fact that we can get a local effective theory makes it seem worth exploring the model to see where it leads.

The paper is organized as follows. In Section II we specify what we mean by “real-vector-space quantum theory,” and we demonstrate the equivalence with ordinary quantum theory when Stueckelberg’s rule is imposed with no further restrictions. (We do not impose Stueckelberg’s rule in the later sections.) In Section III we investigate, numerically and analytically, the dynamics of the ubit interacting randomly with an environment. We find, among other things, that any component of the ubit state that fails to commute with  $J$  quickly decays. Our next step is to restrict our attention to the limiting case described above in which this decay happens instantaneously and continually. In Section IV we consider one specific physical example, the spin of a spin-1/2 particle precessing in a magnetic field, and we explore its behavior numerically when the problem is recast in the ubit model. We identify three respects in which this behavior deviates from standard quantum theory: (i) the frequency of precession is reduced; (ii) there is a long-term dephasing (mentioned above); (iii) there is a periodic variation in the *purity* of the spin state, indicating that the spin is periodically becoming correlated and then uncorrelated with the environment. In Section V we explain all these effects analytically using perturbation theory, taking the ratio  $s/\omega$  as our small parameter. We find, though, that at least to second order in this parameter we can eliminate the strange oscillation in purity simply by reinterpreting the theory. The reinterpretation—which does not eliminate either the reduction in precession frequency or the decoherence—is presented in Section VI. We consider in that section systems with higher state-space dimension than a spin-1/2 particle, but in this paper we do not analyze the higher-dimensional case in detail. Section VII focuses on the fact that in the ubit model there is not a unique mapping from the complex theory to the real theory. The choice of

mapping amounts to an additional specification of the dynamics beyond what is determined by the Hamiltonian. It turns out that one particular choice would render the retardation in the evolution unobservable—it would slow all processes by the same factor—leaving only the decoherence as a potentially observable effect of the ubit model. We discuss our results and draw conclusions in Section VIII.

## II. REAL-VECTOR-SPACE QUANTUM THEORY WITH FINITE DIMENSION

One can identify four main components of the basic framework of standard quantum theory in a complex vector space: (i) States are represented by positive semi-definite operators with unit trace (density matrices). (ii) A reversible evolution is represented by a unitary transformation. (iii) An ideal repeatable measurement is represented by a set of orthogonal projection operators  $\Pi_i$ , such the probability of the outcome  $i$  when the state is  $\rho$  is  $\text{Tr}(\Pi_i\rho)$ , and when outcome  $i$  occurs, the final state of the system is proportional to  $\Pi_i\rho\Pi_i$ . (iv) The state space of a composite system is the tensor product of the state spaces of the components. Other kinds of evolution and measurement are certainly possible, but they can be derived from the above kind by applying these rules to a larger system and then considering the effects on a subsystem.

The analogous statements for real-vector-space quantum theory are exactly the same, except that all the operators are real. In particular this means that a reversible evolution is represented by an *orthogonal* transformation, which is the real version of a unitary transformation.

Let us now write down the differential equation governing the evolution of a state in real-vector-space quantum theory. In the usual complex theory, we can write the equation of evolution as

$$\frac{d\rho}{dt} = [-iH/\hbar, \rho]. \quad (2)$$

In the real-vector-space theory there is no direct analogue of the Hamiltonian  $H$ , but we can replace the antihermitian operator  $-iH/\hbar$  with an *antisymmetric* real operator  $S$ , so that the evolution equation becomes

$$\frac{d\rho}{dt} = [S, \rho]. \quad (3)$$

We will call the operator  $S$  the “Stueckelbergian” of the system. If the Stueckelbergian is

constant, as we will always assume in this paper, then the general solution of Eq. (3) is

$$\rho(t) = e^{St}\rho(0)e^{-St}. \quad (4)$$

As  $S$  is antisymmetric, the operator  $e^{St}$  is orthogonal.

We now show how one can recover standard quantum theory from the real-vector-space version by adding the ubit and imposing Stueckelberg's rule. Much of what follows in this section (minus the interpretation in terms of a ubit) is similar to the account given in Ref. [20].

Suppose the system we want to describe has a  $d$ -dimensional (complex) Hilbert space. Then we start by considering a  $d$ -dimensional real-vector-space object  $A$  together with the ubit  $U$ . Consider any matrix  $M$  that might apply to the  $UA$  system, whether it be a density matrix, an orthogonal evolution operator or antisymmetric Stueckelbergian, or the projection operator associated with a measurement outcome. The  $2d \times 2d$  matrix  $M$  can be written as

$$M = \begin{pmatrix} M_{00} & M_{01} \\ M_{10} & M_{11} \end{pmatrix}, \quad (5)$$

where each  $M_{jk}$  is a real  $d \times d$  matrix and the subscripts refer to a pair of orthogonal basis vectors in the ubit's two-dimensional space. We now impose Stueckelberg's rule: we insist that  $M$  commute with  $J_U \otimes I_A$ , where  $J_U$  is the  $2 \times 2$  matrix we mentioned in the introduction and  $I_A$  is the  $d \times d$  identity. (We include the alphabetic subscripts to indicate which system the operator acts on.) That is, we insist that

$$\begin{pmatrix} M_{00} & M_{01} \\ M_{10} & M_{11} \end{pmatrix} \begin{pmatrix} 0 & -I_A \\ I_A & 0 \end{pmatrix} = \begin{pmatrix} 0 & -I_A \\ I_A & 0 \end{pmatrix} \begin{pmatrix} M_{00} & M_{01} \\ M_{10} & M_{11} \end{pmatrix}, \quad (6)$$

which implies that  $M_{00} = M_{11}$  and  $M_{10} = -M_{01}$ . Thus we can write

$$M = \begin{pmatrix} M_{00} & -M_{10} \\ M_{10} & M_{00} \end{pmatrix}. \quad (7)$$

We can map any such matrix into a smaller,  $d \times d$  complex matrix, such that under this mapping, the laws of real-vector-space quantum theory become the laws of complex-vector-space quantum theory. The mapping is this: For a matrix  $M$  representing an orthogonal transformation, a Stueckelbergian, or a projection operator, we have

$$M = \begin{pmatrix} M_{00} & -M_{10} \\ M_{10} & M_{00} \end{pmatrix} \rightarrow \mathcal{M} = M_{00} + iM_{10}. \quad (8)$$



And for a density matrix  $\rho$ , we have

$$\rho = \begin{pmatrix} \rho_{00} & -\rho_{10} \\ \rho_{10} & \rho_{00} \end{pmatrix} \rightarrow \sigma = 2(\rho_{00} + i\rho_{10}). \quad (9)$$

The special rule for density matrices is simply to make sure every real or complex density matrix has unit trace. Note that  $\rho_{10}$  must be an antisymmetric matrix in order that  $\rho$  be symmetric. This implies that  $\text{Tr } \rho = \text{Tr } \sigma$ , since  $\rho_{10}$  is traceless.

One can verify that Eq. (8) faithfully preserves matrix multiplication: If  $M_1 \rightarrow \mathcal{M}_1$  and  $M_2 \rightarrow \mathcal{M}_2$ , then  $M_1 M_2 \rightarrow \mathcal{M}_1 \mathcal{M}_2$ . And Eqs. (8) and (9) together preserve the trace of a density matrix times a projection operator: If  $\rho \rightarrow \sigma$  and  $\Pi \rightarrow \Upsilon$ , then  $\text{Tr } \Pi \rho = \text{Tr } \Upsilon \sigma$ . These two facts guarantee that the real-vector-space laws (restricted by Stueckelberg's rule) are equivalent to the complex-vector-space laws under this mapping.

So far we have been imagining going from the real theory to the complex theory. One can just as easily go the other way around. For example, given a complex Hamiltonian  $H$ , we can write the corresponding Stueckelbergian  $S$  as

$$S = \begin{pmatrix} \text{Re}(-iH/\hbar) & -\text{Im}(-iH/\hbar) \\ \text{Im}(-iH/\hbar) & \text{Re}(-iH/\hbar) \end{pmatrix} = I_U \otimes \text{Re}(-iH/\hbar) + J_U \otimes \text{Im}(-iH/\hbar). \quad (10)$$

Given a complex density matrix  $\sigma$ , one obtains the corresponding real density matrix  $\rho$  by performing a similar operation [33]:

$$\rho = \frac{1}{2} \begin{pmatrix} \text{Re } \sigma & -\text{Im } \sigma \\ \text{Im } \sigma & \text{Re } \sigma \end{pmatrix} = \frac{1}{2} (I_U \otimes \text{Re } \sigma + J_U \otimes \text{Im } \sigma). \quad (11)$$

Note that in this real-vector-space setting, under Stueckelberg's rule, there is no such thing as a state vector. In fact the purity  $\text{Tr } \rho^2$  cannot be greater than 1/2: from Eq. (11), we have  $\text{Tr } \rho^2 = (1/2)\text{Tr}[(\text{Re } \sigma)^2 - (\text{Im } \sigma)^2] = (1/2)\text{Tr } \sigma^2 \leq 1/2$ . Thus every state has to be represented by a density matrix, even if it corresponds to a pure state in standard quantum theory, and the minimum rank of any density matrix is two.

Of course Stueckelberg's rule is crucial here. Without this restriction, one could indeed have a state vector in the real-vector-space theory—in a sense such a state would be purer than any pure state in standard quantum theory. Also note that a general orthogonal matrix in  $2d$  dimensions can be characterized by  $2d^2 - d$  real parameters, whereas a unitary matrix in  $d$  dimensions requires only  $d^2$  real parameters. So hardly any of those orthogonal matrices

correspond to unitary matrices. This is one sense in which the unrestricted real-vector-space theory allows too many possibilities. In the following sections we will not impose Stueckelberg's rule but will try to achieve its effects in another way.

It is useful to note that, in the absence of any restrictions, every real matrix  $M$  acting on the  $UA$  system can be broken uniquely into two parts  $M_c$  and  $M_a$ , which respectively commute and anticommute with  $J_U \otimes I_A$ . We can write the two parts as

$$M_c = \frac{1}{2} [M - (J_U \otimes I_A)M(J_U \otimes I_A)] \quad (12)$$

and

$$M_a = \frac{1}{2} [M + (J_U \otimes I_A)M(J_U \otimes I_A)]. \quad (13)$$

In these terms one can see, for example, that if all observables and transformations commute with  $J_U \otimes I_A$ , then the anticommuting part of a density matrix,  $\rho_a$ , can have no observable effects. Let an initial density matrix  $\rho$  be transformed by an orthogonal transformation  $O$  and then tested for a property represented by the projection operator  $\Pi$ . If  $O$  and  $\Pi$  commute with  $J_U \otimes I_A$ , then the contribution from  $\rho_a$  to the probability of the “yes” outcome is

$$\text{Tr}(\Pi O \rho_a O^T) = (1/2) \text{Tr} \{ \Pi O [\rho + (J_U \otimes I_A) \rho (J_U \otimes I_A)] O^T \} = 0. \quad (14)$$

(In the second term inside the trace, we can move one factor of  $J_U \otimes I_A$  through  $O$ ,  $\Pi$ , and  $O^T$  so that it combines with the other factor of  $J_U \otimes I_A$  to yield  $-I_U \otimes I_A$ . The two terms then cancel.) Thus if we impose Stueckelberg's rule on all observables and transformations, the physical predictions of the theory will not depend on whether we also impose this restriction on the set of allowed states.

### III. THE UBIT INTERACTING WITH THE ENVIRONMENT

#### A. Specification of the model

Ultimately we want to consider a system  $A$  interacting with the ubit  $U$ , which is also interacting with an environment  $E$  (but there will be no direct interaction between  $A$  and the environment). We will take the Stueckelbergian of the entire system to be of the form

$$\hat{S} = -\omega I_E \otimes J_U \otimes I_A + s B_{EU} \otimes I_A + I_E \otimes S_{UA}, \quad (15)$$

where the subscripts again indicate the system on which each operator acts and we use a hat (rather than the subscript  $EU A$ ) to distinguish those operators that act on the entire system. The operator  $J_U$  generates rotations of the ubit, so  $\omega$  is the ubit's rotation rate. The operator  $B_{EU}$  characterizes both the interaction of the ubit with the environment and the internal dynamics of the environment itself, and  $s$  determines the scale of these interactions. Finally the operator  $S_{UA}$  is the local Stueckelbergian, the one part of  $\hat{S}$  that we imagine can be controlled by an observer.

This last part deserves some discussion. The ubit is not localized, but we are assuming that it is available to be manipulated and measured by any observer. That is, our local observer—whom we will call Alice—can arrange for the implementation of an arbitrary Stueckelbergian  $S_{UA}$  involving the ubit and the local real-vector-space object  $A$  and can measure any observable on the  $UA$  system. One might worry that different physical systems all over the universe will be competing to achieve contradictory effects on the same ubit. Indeed we will see that something along these lines does happen. It will turn out that the interaction of the ubit with the environment severely limits what Alice will actually be able to do. However, we do not impose any such restriction in the basic model.

We now describe our method of generating the matrix  $B_{EU}$ . One could reasonably model the environment as a collection of, say, rebits or higher-dimensional objects, each having some random interaction with the ubit but no interaction with each other. However, when we do our numerical experiments, we would like each run to be reasonably reproducible, as it would be for a very large environment; so we want  $B_{EU}$  to include as many randomly chosen parameters as possible without having to make the environment's dimension intractably large. We therefore model the environment as a single system, with the matrix  $B_{EU}$  simply chosen at random. More precisely, taking  $N$  to be the Hilbert space dimension of the environment, we create a  $2N \times 2N$  matrix  $R$ , each component chosen uniformly between  $-1$  and  $+1$ , which we then antisymmetrize and multiply by  $\sqrt{6/N}$ . The factor  $1/\sqrt{N}$  guarantees that the typical size of an eigenvalue of  $B_{EU}$  will not depend on  $N$ . The factor  $\sqrt{6}$  has been inserted for later convenience. Thus  $B_{EU} = (\sqrt{6/N})(R - R^T)/2$ . We assume that this matrix is written in a tensor-product basis of the environment and the ubit, with the ubit basis being such that the  $J_U$  of Eq. (15) has the standard form given in Eq. (1). (A mere rotation of the ubit basis does not change  $J_U$ , but  $J_U$  would pick up a minus sign under a reflection.) For most of our numerical runs the environment dimension  $N$  is 200.

To get some insight into how the  $EU A$  system will evolve, in the following two subsections we restrict our attention to the simple case in which the system  $A$  has no dynamics of its own and is not interacting with the ubit. That is, the local Stueckelbergian  $S_{UA}$  is zero, so that  $\hat{S} = S_{EU} \otimes I_A$ , where  $S_{EU} = -\omega I_E \otimes J_U + s B_{EU}$ . We also assume that Alice has prepared the  $UA$  system in an initial state that is uncorrelated with the environment, so that the initial state of the whole system is of the form

$$\hat{\rho}(0) = \rho_E \otimes \rho_{UA}. \quad (16)$$

The state  $\rho_{UA}$ , which could be pure because we are not imposing Stueckelberg's rule, and which may exhibit entanglement between the ubit and the  $A$  system, can always be written as

$$\rho_{UA} = I_U \otimes a^{(I)} + J_U \otimes a^{(J)} + X_U \otimes a^{(X)} + Z_U \otimes a^{(Z)}, \quad (17)$$

where  $X_U$  and  $Z_U$  are the usual Pauli matrices acting on the ubit's space and the  $a$ 's are operators on  $A$ 's space. With  $S_{UA}$  being zero, the matrices  $\{a^{(I)}, a^{(J)}, a^{(X)}, a^{(Z)}\}$  will not change. That is, at a later time  $t$ , when the state of the whole system is  $\hat{\rho}(t)$ , the state of the  $UA$  system will be of the form

$$\rho_{UA}(t) = \text{Tr}_E \hat{\rho}(t) = I_U \otimes a^{(I)} + u^{(J)}(t) \otimes a^{(J)} + u^{(X)}(t) \otimes a^{(X)} + u^{(Z)}(t) \otimes a^{(Z)}. \quad (18)$$

(The “ $I_U$ ” part will not change, since  $I_U$  commutes with the Stueckelbergian.) Our aim here is to follow the evolution of the ubit matrices  $u^{(J)}, u^{(X)}$ , and  $u^{(Z)}$ .

The evolution of these matrices will depend to some extent on the initial state  $\rho_E$  of the environment. Numerically we have tried both a randomly chosen pure state and the completely mixed state, and we have found that for a sufficiently large dimension of the environment's Hilbert space, the results are almost the same in both cases though they tend to be somewhat smoother in the latter case. For simplicity, then, in all of our calculations we will choose the the initial environment state to be the completely mixed state  $\rho_E = I_E/N$ .

Under these assumptions, our numerical results can be summarized as follows. The function  $u^{(J)}$  is of the form  $\gamma(t)J_U$ , where  $\gamma(t)$  is an initially oscillating function that finally approaches a constant value between zero and one. Thus the  $J_U$  part of the state diminishes but does not disappear. On the other hand, the functions  $u^{(X)}$  and  $u^{(Z)}$  both become linear combinations of  $X_U$  and  $Z_U$  whose coefficient vectors rotate in the  $X$ - $Z$  plane and finally decay to zero (at least to a very good approximation, which we expect will be exact in

the limit of an infinite-dimensional environment). The rotation of the coefficient vectors is simply a manifestation of the rotation of the qubit in its two-dimensional real state space. That  $u^{(X)}$  and  $u^{(Z)}$  decay to zero tells us that the state of the  $UA$  system eventually obeys Stueckelberg's rule, since the remaining operators  $I_U$  and  $J_U$  commute with  $J_U$ . These results can be understood through perturbation theory, as we now show.

### B. The function $\gamma(t)$

The  $2 \times 2$  matrix  $u^{(J)}$  can be written as

$$u^{(J)}(t) = \frac{1}{N} \text{Tr}_E [e^{S_{EU}t} (I_E \otimes J_U) e^{-S_{EU}t}]. \quad (19)$$

As we have said, this matrix remains proportional to  $J_U$ —this follows from the fact that  $J$  is antisymmetric while  $I$ ,  $X$  and  $Z$  are symmetric—so that  $u^{(J)}(t) = \gamma(t) J_U$  for some real function  $\gamma(t)$ . Here we try to estimate  $\gamma(t)$ . We can write it as

$$\gamma(t) = -\frac{1}{2N} \text{Tr} [e^{S_{EU}t} (I_E \otimes J_U) e^{-S_{EU}t} (I_E \otimes J_U)]. \quad (20)$$

To apply perturbation theory, it is helpful to define a Hermitian matrix  $G$  as follows:

$$G = \frac{iS_{EU}}{\omega} = -iI_E \otimes J_U + \frac{s}{\omega} (iB_{EU}). \quad (21)$$

We can think of  $G$  as

$$G = G_0 + \lambda V, \quad (22)$$

where

$$G_0 = -iI_E \otimes J_U \quad \text{and} \quad V = iB_{EU}, \quad (23)$$

and  $\lambda = s/\omega$  is our perturbation parameter. In terms of  $G$ , we have

$$\gamma(t) = -\frac{1}{2N} \text{Tr} [e^{-i\omega Gt} (I_E \otimes J_U) e^{i\omega Gt} (I_E \otimes J_U)]. \quad (24)$$

Note that  $G_0$ , which is a  $2N \times 2N$  matrix, has only two eigenvalues,  $+1$  and  $-1$ , each associated with an  $N$ -dimensional subspace. Let  $P_+$  and  $P_-$  be the projection operators on the subspaces corresponding to the eigenvalues  $+1$  and  $-1$  respectively. We can write these operators explicitly as

$$P_+ = \frac{1}{2} I_E \otimes (I_U - iJ_U) \quad \text{and} \quad P_- = \frac{1}{2} I_E \otimes (I_U + iJ_U). \quad (25)$$

To do degenerate perturbation theory, we choose a basis that diagonalizes the matrix  $V$  in each of these two subspaces. Let  $|\Phi_n^+\rangle$  and  $|\Phi_n^-\rangle$  be the elements of this basis. That is, the vectors  $|\Phi_n^+\rangle$  are the eigenvectors of  $V^+ = P_+ V P_+$ , and the vectors  $|\Phi_n^-\rangle$  are the eigenvectors of  $V^- = P_- V P_-$ . Thus  $\langle \Phi_n^+ | V | \Phi_m^+ \rangle$  is zero if  $m \neq n$  but  $\langle \Phi_n^+ | V | \Phi_m^- \rangle$  is typically nonzero.

Before proceeding, it is worth noting certain symmetries that follow from the fact that any Stueckelbergian is a real, antisymmetric matrix and that  $P_-$  is the complex conjugate of  $P_+$ . First, the Hermitian matrix  $V^-$  is the negative complex conjugate of  $V^+$ . From this it follows that we can take  $|\Phi_n^-\rangle$  to be the complex conjugate of  $|\Phi_n^+\rangle$ , and if  $v_n$  is the eigenvalue of  $V^+$  associated with  $|\Phi_n^+\rangle$  then  $-v_n$  is the eigenvalue of  $V^-$  associated with  $|\Phi_n^-\rangle$ . Similarly, the eigenvectors of the Hermitian matrix  $G$  can be written as  $|\Psi_n^+\rangle$  and  $|\Psi_n^-\rangle$ , corresponding to eigenvalues  $g_n$  and  $-g_n$  respectively, where  $|\Psi_n^-\rangle$  is the complex conjugate of  $|\Psi_n^+\rangle$ .

In the expression (24) for  $\gamma(t)$ , we make the substitution

$$e^{i\omega G t} = \sum_{n=1}^N (e^{i\omega g_n t} |\Psi_n^+\rangle \langle \Psi_n^+| + e^{-i\omega g_n t} |\Psi_n^-\rangle \langle \Psi_n^-|). \quad (26)$$

Leaving the eigenvalues unanalyzed for now, we use standard time-independent perturbation theory to write the *eigenvectors* in terms of the unperturbed eigenvectors  $|\Phi_n^+\rangle$  and  $|\Phi_n^-\rangle$ . Specifically, we expand  $|\Psi_n^+\rangle$  and  $|\Psi_n^-\rangle$  to second order in  $\lambda$  (because there is no first-order contribution to  $\gamma(t)$ ) and insert this expansion into Eq. (26), which in turn is inserted into Eq. (24). The second-order expansion of  $|\Psi_n^\pm\rangle$  is given in Appendix A. The resulting expression for  $\gamma(t)$  comes out to be

$$\gamma(t) = 1 - \frac{\lambda^2}{N} \sum_{n,m=1}^N |\langle \Phi_n^+ | V | \Phi_m^- \rangle|^2 (1 - \cos[(g_n + g_m)\omega t]). \quad (27)$$

With a couple of assumptions about the matrix elements  $\langle \Phi_n^+ | V | \Phi_m^- \rangle$  and the distribution of values of  $g_n$ , we can obtain an explicit functional form for  $\gamma(t)$ . First we assume that because many random values are being summed to get the squared matrix element  $|\langle \Phi_n^+ | V | \Phi_m^- \rangle|^2$ , we can replace this factor, for each value of  $m$  and  $n$ , with its ensemble average, that is, the average over all possible matrices  $B_{EU}$  generated by the random procedure specified above. To find this ensemble average, we begin with

$$\langle |\langle \Phi_n^+ | V | \Phi_m^- \rangle|^2 \rangle = \frac{1}{N^2} \sum_{n,m=1}^N \langle |\langle \Phi_n^+ | V | \Phi_m^- \rangle|^2 \rangle = \frac{1}{N^2} \langle \text{Tr}(P_+ V P_- V) \rangle, \quad (28)$$

where the angular bracket indicates the ensemble average. Inserting the expressions (25) into Eq. (28) and writing  $V$  in terms of the random matrix  $R$ , we find that each term involving

$J_U$  is zero because it is proportional to the ensemble average of the product of two distinct elements of the random matrix  $R$ . The remaining terms give us

$$\langle |\langle \Phi_n^+ | V | \Phi_m^- \rangle|^2 \rangle = \frac{1}{4N^2} \langle \text{Tr}(V^2) \rangle = -\frac{6}{16N^3} \langle \text{Tr}[(R^T - R)^2] \rangle \approx \frac{1}{N}, \quad (29)$$

where we have used the fact that the average square of a component of  $R$  is  $1/3$ , and we have neglected  $\langle \text{Tr}(R^2) \rangle$  and  $\langle \text{Tr}[(R^T)^2] \rangle$  because they are of order  $N$  whereas  $\langle \text{Tr}(R^T R) \rangle$  is of order  $N^2$ . We will use the value  $1/N$  in place of  $|\langle \Phi_n^+ | V | \Phi_m^- \rangle|^2$  in Eq. (27).

We now turn to the eigenvalues  $\pm g_n$  of  $G$ . Recall that the unperturbed eigenvalues, that is, the eigenvalues of  $G_0$ , are simply  $+1$  and  $-1$ . To lowest nontrivial order in  $\lambda$ , we can write  $g_n = 1 + \lambda v_n$ . (Again,  $v_n = \langle \Phi_n^+ | V | \Phi_n^+ \rangle$  is an eigenvalue of  $V^+$ .) Because  $V^+$  comes from linearly transforming a random matrix, for large  $N$  we expect its eigenvalues  $\{v_n\}$  to approximately exhibit a semicircular distribution. To write this distribution explicitly, we find the ensemble average of  $(1/N) \sum v_n^2$ , reasoning as above but now with a smaller matrix:

$$\left\langle \frac{1}{N} \sum_n v_n^2 \right\rangle = \frac{1}{N} \left\langle \text{Tr}[(V^+)^2] \right\rangle = \frac{1}{4N} \langle \text{Tr}(V^2) \rangle \approx 1. \quad (30)$$

Let  $\eta(v)dv$  be the expected *number of eigenvalues* of  $V^+$  lying between  $v$  and  $v + dv$ , so that the normalization of  $\eta$  is fixed by the condition  $\int_{-v_{max}}^{v_{max}} \eta(v)dv = N$ , where  $v_{max}$  is the maximum value of  $v$ . Then the unique semicircular distribution satisfying  $\langle v^2 \rangle = 1$  is given by

$$\eta(v) = (N/\pi) \sqrt{1 - (v/2)^2}, \quad (31)$$

so that  $v_{max} = 2$ . To get our analytic expression for  $\gamma(t)$ , we replace  $g_n$  in Eq. (27) with  $1 + \lambda v$  and  $g_m$  with  $1 + \lambda v'$ , and we assume both  $v$  and  $v'$  are distributed according to  $\eta$ .

With these approximations, we have

$$\gamma(t) = 1 - \lambda^2 + \frac{\lambda^2}{N^2} \int_{-2}^2 \int_{-2}^2 \eta(v) \eta(v') \cos[(2 + \lambda v + \lambda v') \omega t] dv dv'. \quad (32)$$

The integral can be done exactly, and we obtain

$$\gamma(t) = 1 - \lambda^2 + \left[ \frac{J_1(2st)}{\omega t} \right]^2 \cos(2\omega t), \quad (33)$$

where  $J_1$  is the Bessel function of order 1. When  $t$  is large,  $\gamma(t)$  approaches the constant value  $1 - \lambda^2$ . That is, the  $J$  part of the matrix  $\rho_{UA}$  is reduced but does not vanish. This analytic expression is compared with our numerical results in Fig. 1.

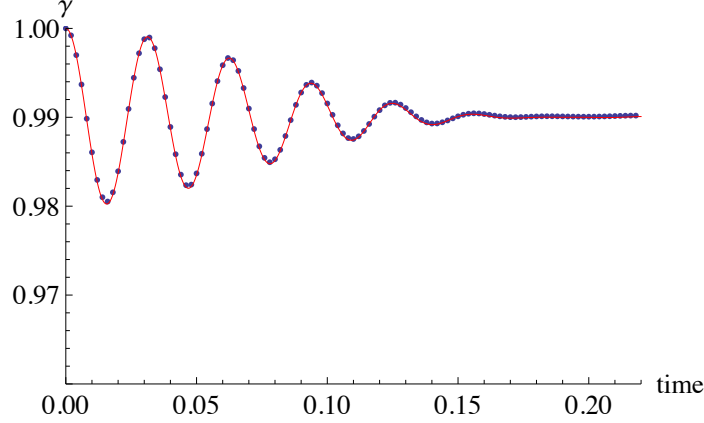


FIG. 1: The evolution of the coefficient  $\gamma(t)$  of  $J_U$  under the influence of the environment. The initial value of  $\gamma$  is set to unity, and the system is initially in a product state, with no correlation between the ubit and the environment. The dots (blue) show the numerical results, and the curve (red) shows our result from perturbation theory (Eq. (33)). Here  $N = 200$ ,  $s = 10$  and  $\omega = 100$ . (Time is measured in arbitrary units. If  $t$  is interpreted as being in zs, for example, then  $s = 10$   $\text{zs}^{-1}$  and  $\omega = 100$   $\text{zs}^{-1}$ .) In this figure, as in every figure in this paper, the numerical results are for a single run of the simulation. The results from one run to the next are extremely consistent.

### C. The matrix $u^{(X)}$

We would now like to get an analytic expression for  $u^{(X)}$ , which starts out as  $X$  at  $t = 0$ . The matrix  $u^{(X)}$  can be written as

$$u^{(X)}(t) = \frac{1}{N} \text{Tr}_E [e^{S_{EU}t} (I_E \otimes X_U) e^{-S_{EU}t}]. \quad (34)$$

We can always write a  $2 \times 2$  matrix as a linear combination of basis elements, so we can write  $u^{(X)}$  as

$$u^{(X)}(t) = \beta^{(I)}(t)I + \beta^{(J)}(t)J + \beta^{(X)}(t)X + \beta^{(Z)}(t)Z, \quad (35)$$

where the  $\beta$ 's are real-valued functions. As  $X$  is symmetric,  $\beta^{(J)}$  must equal zero since  $J$  is anti-symmetric. We also know that  $\beta^{(I)}$  equals zero since the identity commutes with  $e^{S_{EU}t}$ :

$$\beta^{(I)} = \frac{1}{2N} \text{Tr} [(I_E \otimes I_U) e^{S_{EU}t} (I_E \otimes X_U) e^{-S_{EU}t}] = \frac{1}{2N} \text{Tr} [I_E \otimes X_U] = 0. \quad (36)$$

Thus  $u^{(X)}(t) = \beta^{(X)}(t)X + \beta^{(Z)}(t)Z$ .



To calculate  $\beta^{(X)}$  and  $\beta^{(Z)}$ , we again apply perturbation theory. We begin with the following expression for  $\beta^{(X)}$ :

$$\beta^{(X)}(t) = \frac{1}{2N} \text{Tr} [(I_E \otimes X_U) e^{S_{EU}t} (I_E \otimes X_U) e^{-S_{EU}t}]. \quad (37)$$

When writing  $e^{S_{EU}t}$  in terms of the unperturbed eigenvectors, it is convenient to factor each unperturbed eigenvector into a tensor product of the environment and ubit parts. The eigenvectors of  $-iJ$  (the ubit part of  $G_0$ ) are  $|+\rangle = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ -i \end{pmatrix}$  and  $|-\rangle = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ i \end{pmatrix}$ , corresponding to the eigenvalues  $+1$  and  $-1$ . Thus,

$$|\Phi_n^+\rangle = |\phi_n^+\rangle \otimes |+\rangle \quad (38)$$

$$|\Phi_n^-\rangle = |\phi_n^-\rangle \otimes |-\rangle \quad (39)$$

for some environment states  $|\phi_n^\pm\rangle$  (where  $|\phi_n^- \rangle$  is the complex conjugate of  $|\phi_n^+ \rangle$ ). We now write  $X$  in terms of  $|+\rangle$  and  $|-\rangle$ :

$$X = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} = i(|+\rangle\langle -| - |-\rangle\langle +|). \quad (40)$$

Inserting Eqs. (26) and (40) into Eq. (37), we find an expression for  $\beta^{(X)}$  to zeroth order in  $\lambda$  for the eigenstates. There are no first order terms, and the zeroth-order terms turn out to be sufficient to give us good agreement with the numerical calculations. The resulting expression comes out to be

$$\beta^{(X)}(t) = 2 \sum_{n,m=1}^N |\langle \phi_n^+ | \phi_m^- \rangle|^2 \cos[(g_n + g_m)\omega t]. \quad (41)$$

Using the same assumption as before about the distribution of the values of  $g_n$ , we can find an expression for  $\beta^{(X)}$  independent of the details of the eigenstates and eigenvalues of  $G$ . We also assume that for a sufficiently large environment, we can approximate each  $|\langle \phi_n^+ | \phi_m^- \rangle|^2$  by its ensemble average  $1/N$ . Plugging this value back into Eq. (41) and assuming the same semicircle distribution as before, we arrive at the final expression:

$$\beta^{(X)}(t) = \left[ \frac{J_1(2st)}{st} \right]^2 \cos(2\omega t). \quad (42)$$

In a similar way, we find that

$$\beta^{(Z)}(t) = \frac{1}{N} \sum_{n,m=1}^N |\langle \phi_n^+ | \phi_m^- \rangle|^2 \sin[(g_n + g_m)\omega t] = \left[ \frac{J_1(2st)}{st} \right]^2 \sin(2\omega t). \quad (43)$$

The functions  $\beta^{(X)}$  and  $\beta^{(Z)}$  together show what happens as  $u^{(X)}$  evolves over time. The  $X$  and  $Z$  parts of the ubit's matrix  $u^{(X)}$  rotate into each other and eventually decay to zero. Fig. 2 compares our analytic expressions for both  $\beta^{(X)}$  and  $\beta^{(Z)}$  to our numerical results.

Solving for  $u^{(Z)}$  yields a similar result. The ubit matrix  $u^{(Z)}$  begins as  $Z$ , and the  $Z$  and  $X$  parts again rotate into each other as they decay down to zero.

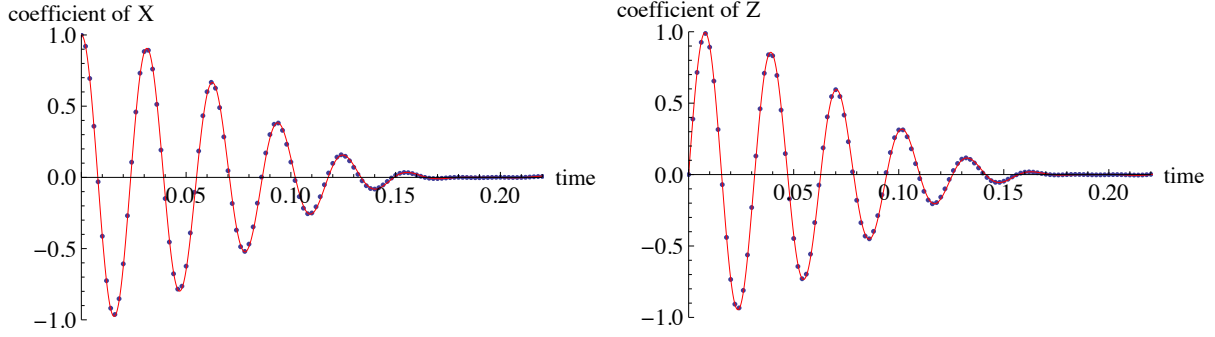


FIG. 2: Evolution of the matrix  $u^{(X)}(t) = \beta^{(X)}X + \beta^{(Z)}Z$ , which begins as  $X$ . Here we plot  $\beta^{(X)}$  and  $\beta^{(Z)}$  as functions of time. One can see that the vector  $(\beta^{(X)}, \beta^{(Z)})$  rotates in the plane and finally decays to zero. The dots (blue) show the numerical results, and the curves (red) show the result of our perturbation theory calculation (Eqs. (42) and (43)). Here  $N = 200$ ,  $s = 10$  and  $\omega = 100$ .

#### D. Projecting onto the space of matrices that commute with $S_{EU}$

The above calculations show that  $\gamma(t)$ ,  $\beta^{(X)}(t)$ , and  $\beta^{(Z)}(t)$  all approach asymptotic values. We could have obtained these asymptotic values more directly in the following way. First, we can break  $\hat{\rho}(0)$  into two parts: the part  $\hat{\rho}_{\parallel}$  lying in the space of matrices that commute with  $S_{EU} \otimes I_A$ , and the part  $\hat{\rho}_{\perp}$  perpendicular to this space [34]. Then the evolution (again in the absence of any local Stueckelbergian  $S_{UA}$ ) becomes

$$\hat{\rho}(t) = e^{(S_{EU} \otimes I_A)t}(\hat{\rho}_{\parallel} + \hat{\rho}_{\perp})e^{-(S_{EU} \otimes I_A)t} = \hat{\rho}_{\parallel} + e^{(S_{EU} \otimes I_A)t}\hat{\rho}_{\perp}e^{-(S_{EU} \otimes I_A)t}. \quad (44)$$

The second term is what provides the oscillations we saw in the graphs in the preceding subsections. Asymptotically the effect of this second term on the  $UA$  system disappears as the oscillating components tend to cancel each other out when we trace over the environment. It is only  $\hat{\rho}_{\parallel}$  that contributes to the final state of  $UA$ ; so in order to find that state we could

have focused only on  $\hat{\rho}_{\parallel}$  (to which we could have applied perturbation theory as in the above calculations).

For the remainder of this paper, in our analytical work we will adopt the following ansatz. First, we assume that both  $s$  and  $\omega$ , that is, the scales of the two terms in the  $EU$  part of the Stueckelbergian, are much larger in magnitude than the spread in eigenvalues of the local Stueckelbergian  $S_{UA}$ . We imagine taking the limit as  $s$  and  $\omega$  both go to infinity while their ratio remains fixed. As  $s$  and  $\omega$  get larger, the oscillations and decay we observed in the preceding subsections simply proceed at a faster rate without changing their form in any other way. Consider, then, the evolution of  $\hat{\rho}$  over any short interval of time. By the time  $S_{UA}$  has had any appreciable effect, the asymptotic value of  $\rho_{UA}$  due to the action of  $S_{EU}$  will have already been reached. Therefore, for the purpose of computing  $\rho_{UA}$  we will (i) ignore any initial  $\hat{\rho}_{\perp}$  and (ii) assume that  $\hat{\rho}_{\parallel}$ , as it evolves, is continually projected into the space of matrices that commute with  $S_{EU} \otimes I_A$ . That is, we will use this continual projection in place of the exact evolution due to  $S_{EU}$  in all our later analytical calculations. (But in our numerical work we will follow the exact dynamics.) Note that this method of continual projection does not necessarily provide a good approximation to  $\hat{\rho}$  itself. The rapidly oscillating part  $\hat{\rho}_{\perp}$  does not go away, but it is irrelevant for computing  $\rho_{UA}$ . To remind ourselves that we are dealing only with  $\hat{\rho}_{\parallel}$  and not with the full density matrix  $\hat{\rho}$ , we will keep the subscript “ $\parallel$ ” when referring to the state of the whole system. In the following paragraphs we write down some general consequences of the assumption of continual projection.

First we modify the equation of evolution, Eq. (3), so that it does not allow  $\hat{\rho}_{\parallel}$  to evolve away from the space of matrices that commute with  $S_{EU} \otimes I_A$ . The modified equation is

$$\frac{d\hat{\rho}_{\parallel}}{dt} = \mathcal{P} \left( [I_E \otimes S_{UA}, \mathcal{P}(\hat{\rho}_{\parallel})] \right), \quad (45)$$

where  $\mathcal{P}$  projects onto this space. (The second  $\mathcal{P}$  is unnecessary, since  $\hat{\rho}_{\parallel}$  is already in the space into which  $\mathcal{P}$  projects. We include it only so that the equation would preserve the trace of *any* density matrix.) Assuming that  $S_{EU}$  is non-degenerate, the only matrices that commute with it are linear combinations of projections onto its eigenstates. Thus we can express the action of  $\mathcal{P}$  on a generic matrix  $M$  as follows:

$$\mathcal{P}(M) = \sum_j |\Psi_j\rangle\langle\Psi_j| \otimes \text{Tr}_{EU} [(|\Psi_j\rangle\langle\Psi_j| \otimes I_A) M]. \quad (46)$$

Here we use the index  $j$  to stand for the combination of  $n$  and  $\pm$  in  $|\Psi_n^\pm\rangle$ . (Again, the vectors  $|\Psi_n^\pm\rangle$  are the eigenvectors of  $S_{EU}$ .) The most general form of  $\hat{\rho}_\parallel$  as a function of time (still assuming that  $S_{EU}$  is nondegenerate) is

$$\hat{\rho}_\parallel(t) = \frac{1}{2N} \sum_j |\Psi_j\rangle\langle\Psi_j| \otimes \sigma_j(t), \quad (47)$$

where  $\sigma_j(t)$  is a matrix acting on the space of the  $A$  system. Inserting Eqs. (46) and (47) into Eq. (45), we get the following equation for the evolution of the  $\sigma_j$ 's.

$$\frac{d\sigma_j}{dt} = [\langle\Psi_j|I_E \otimes S_{UA}|\Psi_j\rangle, \sigma_j], \quad (48)$$

where the quantity  $\langle\Psi_j|I_E \otimes S_{UA}|\Psi_j\rangle$  is to be interpreted as a ‘‘partial expectation value,’’ in which the  $EU$  vector  $|\Psi_j\rangle$  combines with the  $EU$  part of  $I_E \otimes S_{UA}$  to leave a matrix that acts on the space of the  $A$  system.

Now, the local Stueckelbergian  $S_{UA}$  must be a linear combination of the four ubit matrices  $I_U$ ,  $J_U$ ,  $X_U$ , and  $Z_U$ , each in a tensor product with some matrix of the  $A$  system. Eq. (48) thus calls on us to evaluate the quantities  $\langle\Psi_j|I_E \otimes I_U|\Psi_j\rangle$ ,  $\langle\Psi_j|I_E \otimes J_U|\Psi_j\rangle$ ,  $\langle\Psi_j|I_E \otimes X_U|\Psi_j\rangle$ , and  $\langle\Psi_j|I_E \otimes Z_U|\Psi_j\rangle$ . The first of these is clearly equal to unity. If  $|\Psi_j\rangle$  were simply a random state, then the other three would have typical values that diminish in magnitude proportional to  $1/\sqrt{N}$  for large  $N$  [22]. But  $|\Psi_j\rangle$  is not a random state. Rather, it is an eigenstate of  $S_{EU} = -\omega I_E \otimes J_U + s B_{EU}$  where  $B_{EU}$  is random. The presence of  $\omega I_E \otimes J_U$  in this matrix prevents  $\langle\Psi_j|I_E \otimes J_U|\Psi_j\rangle$  from going to zero as  $N$  approaches infinity, but it does not similarly protect  $\langle\Psi_j|I_E \otimes X_U|\Psi_j\rangle$  or  $\langle\Psi_j|I_E \otimes Z_U|\Psi_j\rangle$ . We find numerically that even with a nonzero value of  $\omega$  these last two quantities have typical values that approach zero as  $1/\sqrt{N}$ , while the typical size of  $\langle\Psi_j|I_E \otimes J_U|\Psi_j\rangle$  approaches a nonzero constant. Let us define

$$\nu_j = -i\langle\Psi_j|I_E \otimes J_U|\Psi_j\rangle, \quad (49)$$

in which the  $i$  has been inserted to make  $\nu_j$  real. In Section V we will estimate  $\nu_j$ , but for now we simply use it to rewrite our basic equation (48). According to what we have just said, in the large  $N$  limit we can ignore any part of  $S_{UA}$  that is proportional to  $X_U$  or  $Z_U$ , so that in effect the most general  $S_{UA}$  has the form

$$S_{UA} = I_U \otimes L_A - J_U \otimes K_A, \quad (50)$$

where  $L_A$  is an antisymmetric real matrix and  $K_A$  is a symmetric real matrix. Inserting this form into Eq. (48), we get

$$\frac{d\sigma_j}{dt} = -i[\nu_j K_A + iL_A, \sigma_j]. \quad (51)$$

(The matrix  $\sigma_j$  can be complex, as long as the imaginary parts cancel out when we do the sum in Eq. (47).) Evidently the Hermitian matrix  $\nu_j K_A + iL_A$  is playing a role like that of  $H/\hbar$ , except that because of the  $j$  dependence in  $\nu_j$ , different components  $\sigma_j$  can have different effective Hamiltonians. We will get a sense of what consequences this fact has as we consider in Sections IV and V the special case of a precessing spin.

The fact that  $\langle \Psi_j | I_E \otimes X_U | \Psi_j \rangle$  and  $\langle \Psi_j | I_E \otimes Z_U | \Psi_j \rangle$  become zero in the large  $N$  limit has another important consequence. First, it means that when we expand  $|\Psi_j\rangle\langle\Psi_j|$  as a linear combination of the ubit matrices  $I_U$ ,  $J_U$ ,  $X_U$ , and  $Z_U$ , the environment matrices multiplying  $X_U$  and  $Z_U$  must have zero trace. Therefore, for any  $\hat{\rho}_{\parallel}$  of the form given in Eq. (47), the density matrix  $\rho_{UA}$  resulting from tracing over the environment cannot include any term proportional to  $X_U$  or  $Z_U$  (in the limit as  $N$  approaches infinity), so that  $\rho_{UA}$  commutes with  $J_U$ . Thus both our local Stueckelbergian  $S_{UA}$  and our local density matrix  $\rho_{UA}$  commute with  $J_U$ , and in this sense we have recovered Stueckelberg's rule through the interaction of the ubit with the large environment. We have not explicitly ruled out the possibility of a *measurement* operator that does not commute with  $J_U$ , but if Alice were to manage to perform such a measurement, represented by a projection operator  $P_{UA}$ , the anticommuting part  $(P_{UA})_a = (1/2)[P_{UA} + (J_U \otimes I_A)P_{UA}(J_U \otimes I_A)]$  would make no observable difference because  $\text{Tr}[\rho_{UA}(P_{UA})_a]$  is equal to zero for any  $\rho_{UA}$  that commutes with  $J_U \otimes I_A$ . We hasten to add, though, that this effective enforcement of Stueckelberg's rule by our projection hypothesis does not make our theory equivalent to standard quantum mechanics. It eliminates unwanted states and unwanted terms in the Stueckelbergian, but as we will see, it is not equivalent to simply imposing Stueckelberg's rule as in Section II. The interaction with the environment yields a different effective dynamics. Our main task in the rest of this paper is to characterize the differences.

Finally, one might wonder whether it really makes sense to assume, as we have done, that the parameter  $s$ , which is the size of a typical eigenvalue of  $sB_{EU}$ , is much larger in magnitude than the spread in eigenvalues of the local Stueckelbergian. After all, the idea underlying our model is that the ubit's interaction with each component of the rest of the world should be similar to its interaction with the local system.

In fact there is no contradiction here. In a more realistic model of the environment, the size of a typical eigenvalue of the ubit-environment Stueckelbergian would reflect not just the strength of interaction between the ubit and a single component of the environment. It would also reflect the size of the environment. Suppose, for example, that the environment consists of  $n$  rebits and that the ubit interacts with each one via a simple  $4 \times 4$  Stueckelbergian matrix with eigenvalues  $\{i\xi, i\xi, -i\xi, -i\xi\}$ . Then even if those individual rebits do not interact with each other, the square root of the average squared magnitude of an eigenvalue of the whole interaction Stueckelbergian is equal to  $\xi\sqrt{n}$ . Thus the typical size of an eigenvalue grows with the size of the environment. This model of the environment as composed of independent systems is not the one we have chosen for our numerical simulations, but this argument shows that it is reasonable to assume that the matrix  $sB_{EU}$  has large eigenvalues: its eigenvalues are large by virtue of the large size of the environment, even if the strength of interaction between the ubit and any small component of the environment is of limited magnitude.

### E. No signaling

To summarize the last subsection: by imagining both  $s$  and  $\omega$  going to infinity with a fixed ratio, we were led to consider only the part of the global density matrix that commutes with  $S_{EU} \otimes I_A$ , and we assumed that during the evolution, this part is continually projected onto the space of matrices that commute with  $S_{EU} \otimes I_A$ . This assumption led us to the form (47) of the density matrix, which evolves according to Eq. (48). Next, we considered the implications of the environment's dimension becoming infinitely large. (In our model, this means that the number of independently chosen random parameters becomes infinitely large.) In this limit, we concluded—admittedly on the basis of numerical evidence—that certain terms of the local Stueckelbergian will become inconsequential, because the random nature of the matrix  $B_{EU}$  causes the contributions of these terms to Eq. (48) to vanish. The only terms in  $S_{EU}$  that can have any effects, then, are those that commute with  $J_U$ . In that case Eq. (48) can be written in the specific form (51). We now show that this form of the equation does not allow signaling between two observers Alice and Bob if the systems they hold,  $A$  and  $B$ , have no direct or indirect interaction between them except through the ubit.

We first have to write down what it means that  $A$  and  $B$  are not interacting except through the ubit. In standard quantum theory, two isolated and therefore non-interacting

systems  $\mathcal{A}$  and  $\mathcal{B}$  have a Hamiltonian of the form

$$H_{AB} = H_A \otimes I_B + I_A \otimes H_B. \quad (52)$$

(We use script letters to refer to complex-vector-space systems.) Converting this Hamiltonian to real-vector-space language as in Section II, we have that the Stueckelbergian is

$$\hbar S_{UAB} = I_U \otimes \text{Re}(-iH_A \otimes I_B - iI_A \otimes H_B) + J_U \otimes \text{Im}(-iH_A \otimes I_B - iI_A \otimes H_B). \quad (53)$$

We can write this operator in a form like that of Eq. (50):

$$S_{UAB} = (I_U \otimes L_A - J_U \otimes K_A) \otimes I_B + I_A \otimes (I_U \otimes L_B - J_U \otimes K_B). \quad (54)$$

Given that we are ruling out any terms proportional to  $X_U$  or  $Z_U$ , the form given in Eq. (54) is the most general form possible for a pair of isolated systems (that is, isolated except for their interaction with the ubit).

For the pair  $AB$ , we can rewrite Eq. (47) as

$$\hat{\rho}_{\parallel}(t) = \frac{1}{2N} \sum_j |\Psi_j\rangle\langle\Psi_j| \otimes \tau_j(t), \quad (55)$$

where  $\tau_j$  is an operator on the space of the  $AB$  system, and the hat here labels an operator on the whole  $EUAB$  system. With a Stueckelbergian of the form (54), the equation of evolution for  $\tau_j$  is a modified form of Eq. (51):

$$\frac{d\tau_j}{dt} = -i[(\nu_j K_A + iL_A) \otimes I_B + I_A \otimes (\nu_j K_B + iL_B), \tau_j]. \quad (56)$$

We are now in familiar territory. The matrix  $\tau_j$  acts on the space of  $A$  and  $B$ , but it evolves according to an effective Hamiltonian that includes no interaction between the two systems. Therefore the partial trace of  $\tau_j$  over either of two systems evolves under its own effective Hamiltonian, with no influence from the other system. E.g.,

$$\frac{d}{dt} \text{Tr}_B \tau_j = -i[\nu_j K_A + iL_A, \text{Tr}_B \tau_j]. \quad (57)$$

It follows that  $\rho_{UA}$ , which is

$$\rho_{UA} = \text{Tr}_{EB} \hat{\rho}_{\parallel} = \frac{1}{2N} \sum_j \text{Tr}_E(|\Psi_j\rangle\langle\Psi_j|) \text{Tr}_B(\tau_j(t)), \quad (58)$$

evolves independently of what happens to system  $B$ . That is, Bob's choice of local Stueckelbergian  $S_{UB} = I_U \otimes L_B - J_U \otimes K_B$  cannot affect what Alice sees. Now, Bob can perform

operations other than simply applying a Stueckelbergian to the  $UB$  system for a period of time. He can allow system  $B$  to interact with other systems (which by assumption are not interacting with  $A$ ) and in particular he can make measurements. But any such operation can be accounted for simply by expanding the definition of system  $B$ . (Bob may observe a definite outcome of a measurement, but he is not allowed to convey to Alice any information about this outcome. So to describe the state Alice observes we need to keep all the outcomes, and we can do this by letting  $B$  become entangled with the measuring device with no collapse.) We conclude that in this model, in the limiting case we are considering, there can be no signaling through the ubit.

To be sure, if  $s$ ,  $\omega$ , and  $N$  remain finite, then there *can* be signaling through the ubit. It would be interesting to determine quantitatively how the degree of signaling (suitably defined) depends on  $s$ ,  $\omega$ , and  $N$ , but we leave this question for future work. Here we focus on the limiting case.

Thus for any fixed value of the ratio  $s/\omega = \lambda$ , we should get an effective theory that is a no-signaling theory. The effective theory will typically not be the same as quantum mechanics—it will be a modification of quantum mechanics. The results of the next two sections indicate that for the special example we consider there—a precessing spin—we recover standard quantum theory when  $\lambda$  goes to zero. In Appendix B we present a related argument that does not depend on taking either  $s$  or  $N$  to be infinite and that applies to a general system. There we show that with fixed  $s$  and  $N$ , the evolution operator  $e^{\hat{S}t}$  becomes equivalent to the standard quantum mechanical operator when  $\omega$  goes to infinity.

## IV. A PRECESSING SPIN—NUMERICAL SIMULATIONS

### A. The Stueckelbergian and the initial state

In the numerical work of the preceding section we considered the interaction of the ubit with the environment, in the absence of any interaction with the local system  $A$ . We now add this interaction and study the simplest possible case, a precessing qubit. For definiteness we take the qubit to be the spin of a spin-1/2 particle in the presence of a constant magnetic field  $\vec{B}$ . With  $\vec{B}$  in the positive  $z$  direction and the particle having a negative charge, the usual Hamiltonian can be written as  $H = \hbar(\Omega/2)Z$ , where  $\Omega$  is the angular precession



frequency, equal to  $B$  times the magnitude of the particle's gyromagnetic ratio, and  $Z$  is again the Pauli matrix for the  $z$  axis. The precession will be in the right-hand sense around the positive  $z$  axis.

We can use the correspondence given in Section II to re-express the same phenomenon in terms of a rebit  $A$  and the ubit. The Stueckelbergian is obtained from  $H$  as in Eq. (10):

$$S_{UA} = J_U \otimes \text{Im}(-iH/\hbar) = -\frac{\Omega}{2} J_U \otimes Z_A. \quad (59)$$

Let the initial spin state be in the  $x$  direction; that is, the initial density matrix of the qubit is  $\rho = (1/2)(I + X)$ . To re-express this state in the ubit model, we use Eq. (11):

$$\rho_{UA}(0) = \frac{1}{4} I_U \otimes (I_A + X_A). \quad (60)$$

If we were to let the  $UA$  system evolve under the Stueckelbergian  $S_{UA}$  from the starting state  $\rho_{UA}(0)$ , it would exhibit the standard precession simply re-expressed in real-vector-space terms. That is, we would have

$$\rho_{UA}(t) = \frac{1}{4} [I_U \otimes I_A + \cos(\Omega t) I_U \otimes X_A + \sin(\Omega t) J_U \otimes J_A]. \quad (61)$$

The combination  $J_U \otimes J_A$  takes the place of the Pauli matrix  $Y$ .

But we are interested in the evolution of the state of the whole system, with the initial state

$$\hat{\rho}_{\parallel}(0) = \frac{1}{4N} I_E \otimes I_U \otimes (I_A + X_A), \quad (62)$$

under the full Stueckelbergian

$$\hat{S} = -\omega I_E \otimes J_U \otimes I_A + s B_{EU} \otimes I_A + I_E \otimes S_{UA}. \quad (63)$$

(As in Section III, we are taking the initial state of the environment to be the completely mixed state. We make this choice as a matter of simplicity. Note that Eq. (62) is a special case of Eq. (47).) With this initial state and Stueckelbergian, we find the state of the  $UA$  system at time  $t$  by computing

$$\rho_{UA}(t) = \text{Tr}_E \left( e^{\hat{S}t} \hat{\rho}_{\parallel}(0) e^{-\hat{S}t} \right). \quad (64)$$

The results of Section III lead us to expect that any component of  $\rho_{UA}(t)$  involving  $X_U$  or  $Z_U$  will be extremely small, and indeed this is what we observe numerically—those components

seem to approach zero as  $N$  increases. This leaves four basic symmetric matrices in which we can expand  $\rho_{UA}(t)$ . The expansion can be written as

$$\rho_{UA}(t) = \frac{1}{4} [I_U \otimes I_A + b_x I_U \otimes X_A + b_y J_U \otimes J_A + b_z I_U \otimes Z_A]. \quad (65)$$

Thus in our model we can still imagine the evolution of the spin as a path through the Bloch sphere, with the Bloch vector defined as  $\vec{b} = (b_x, b_y, b_z)$ . This vector must have a length no greater than unity since  $\rho_{UA}(t)$  is positive semi-definite.

As we mentioned in the introduction, our numerical simulations indicate three distinct respects in which the evolution of  $\rho_{UA}$  differs from the standard qubit evolution given in Eq. (61). (i) The angular frequency of precession is reduced relative to the standard quantum mechanical value  $\Omega$ . (ii) There is a long-term dephasing of the state. For the initial state we focus on here, with the spin in the  $x$  direction, this dephasing ultimately yields the completely mixed state. (iii) The length of the Bloch vector, which would normally maintain a constant value of unity, instead oscillates as the spin precesses, achieving its smallest value whenever the spin is directed along the  $y$  axis. The length of the Bloch vector indicates the purity of the state; so we see the state becoming mixed and then regaining its purity every half-cycle (long before the purity is reduced permanently because of the dephasing). Evidently what is happening is that some of the information in the state is being shared temporarily with the environment and then returned to the  $UA$  system. When we trace over the environment to get  $\rho_{UA}$ , this shared component becomes invisible. We call this shared component of the state “the ghost part.”

In the following three subsections we present our numerical results for each of these effects.

## B. Reduced precession frequency

Perhaps the most obvious way in which the precessing spin in our model departs from its behavior in standard quantum theory is that the frequency of precession is reduced. Numerically we find that the angular frequency depends on the value of our parameter  $\lambda = s/\omega$ , achieving the standard quantum mechanical value  $\Omega$  only as  $\lambda$  goes to zero. We show an example of the reduced frequency in Fig. 3, which plots the  $x$ -component of the Bloch vector,  $b_x$ , as a function of time for a rather large value of  $\lambda$ .

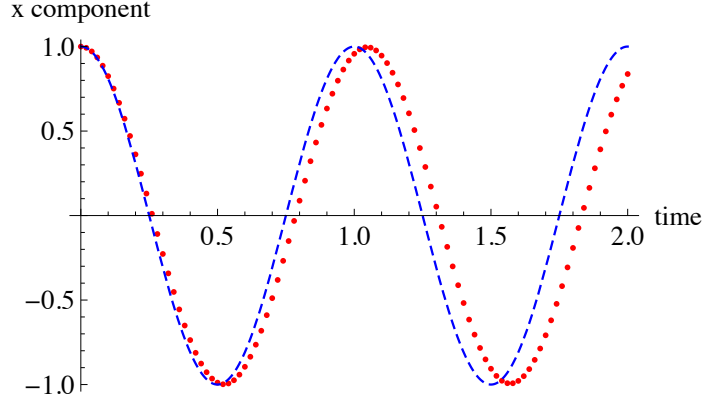


FIG. 3: The  $x$  component of the Bloch vector as a function of time, compared to the standard quantum mechanical prediction, for a spin initially in the  $x$  direction and precessing around the  $z$  axis. The dots (red) show the numerical results, and the dashed curve (blue) shows the standard quantum mechanical result. In this plot  $N = 200$ ,  $s = 30$  and  $\omega = 100$ —we have chosen  $\lambda = s/\omega$  to be relatively large to make the effect visible—and we have set  $\Omega = 2\pi$  so that time is measured in periods of the regular quantum mechanical precession.

### C. Long-term decoherence

Over a sufficiently long time period, the precessing spin in our simulations decays to a stationary mixed state. When the initial state is given by Eq. (62), that is, when the spin is initially in the positive  $x$  direction, and when the precession is around the  $z$  axis, the Bloch vector eventually spirals into that axis, so that the final state of the  $UA$  system is the completely mixed state  $(1/4)I_U \otimes I_A$ . If instead we take the initial state to have a non-zero value of  $b_z$ , we find that in the evolving state  $\rho_{UA}(t)$  the value of  $b_z$  remains constant, but the  $x$  and  $y$  components of  $\vec{b}$  again spiral into the  $z$  axis, so that the  $UA$  system finally settles into the constant state  $(1/4)(I_U \otimes I_A + b_z I_U \otimes Z_A)$ . That is, for any value of  $b_z$  the phase coherence is eventually lost. We find numerically that the decay time depends on the environment dimension  $N$ , increasing with increasing  $N$  before finally approaching a constant value when  $N$  is large. We present an example in Fig. 4, for which the initial Bloch vector is  $\vec{b} = (1/\sqrt{2}, 0, 1/\sqrt{2})$ . We plot there the length  $b = \sqrt{b_x^2 + b_y^2 + b_z^2}$  of the Bloch vector, which seems to approach the value  $1/\sqrt{2}$ , consistent with the picture of the vector spiraling into the  $z$  axis. In making the figure, we chose a value of  $N$  that shows the large-environment limit.

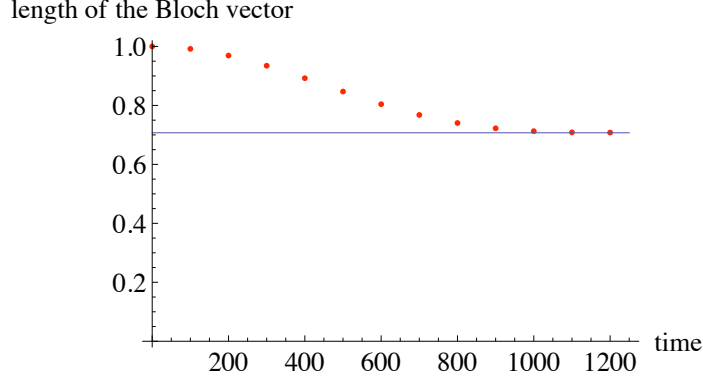


FIG. 4: For a spin initially directed at  $45^\circ$  between the  $x$  and  $z$  axes and precessing around the  $z$  axis, the length of the Bloch vector decays to  $1/\sqrt{2}$  as the vector spirals into the  $z$  axis. The dots (red) show the numerical results, and the line (blue) is at the level  $1/\sqrt{2}$ . Here  $N = 1400$ ,  $s = 30$ ,  $\omega = 300$ , and  $\Omega = 2\pi$ .

#### D. The ghost part

There is also a periodic change in the length of the Bloch vector as a function of time. Our numerical results for  $b$  as a function of time are shown in Fig. 5, in which the spin is initially in the positive  $x$  direction, and the time axis is in units of the usual quantum mechanical precession period  $2\pi/\Omega$ . Notice that the length achieves its minimum value twice in each cycle, corresponding to the times when the spin is pointing in the positive or negative  $y$  direction. Indeed we find that  $b_y$  never attains the value 1, while  $b_z$  remains zero as expected. It is as if the Bloch sphere were somewhat flattened along the  $y$  axis, so that the Bloch vector precesses along the equator of the resulting oblate ellipsoid.

The shortened Bloch vector associated with the  $y$  axis indicates an increased entropy: some information has been lost. But it has been lost only temporarily, as it comes back in the next quarter-cycle. Again, it appears that some kind of correlation has been temporarily set up between the  $UA$  system and the environment. While it may be unusual for a correlation to automatically reverse itself, one can certainly find instances of such reversal in standard physics. While a light pulse is reflecting off a mirror, for example, it is temporarily correlated with electrons in the mirror's silver coating, but once the reflection is complete the correlation has been undone and the pulse's state is, in the ideal case, as pure as it was before the reflection. (The effect we are seeing is similar to the phenomenon of “false decoherence” as

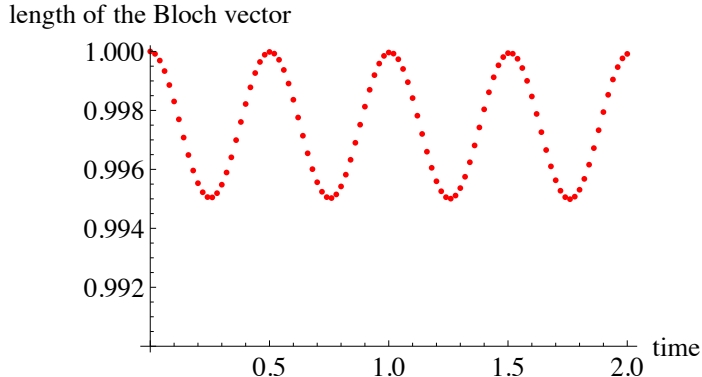


FIG. 5: The length of the Bloch vector varies periodically with a frequency equal to twice the precession frequency. The dots (red) show the numerical results when the spin is initially in the positive  $x$  direction. Here  $N = 200$ ,  $s = 30$ ,  $\omega = 300$ , and  $\Omega = 2\pi$ . The minima correspond to the times when the Bloch vector points in either the positive or negative  $y$  direction. Notice that with these values of the parameters, the effect is small, with a reduction in length of about half a percent.

described in Refs. [23–26].)

One might wonder whether under some circumstances the correlation between the  $UA$  system and the environment might become so thoroughly mixed up within the environment that it could never be undone, in which case the entropy of the  $UA$  system would have increased permanently. This seems to be what happens after many cycles of precession—we eventually get the decoherence observed in Subsection IV.C. But can the information get lost even when the spin is not precessing? To investigate this question, we have run simulations in which, after a quarter-cycle of precession, we turned off the local Stueckelbergian  $S_{UA}$  and allowed the ubit and environment to continue to evolve according to  $S_{EU}$  for thousands of precession periods while the  $UA$  system remained “frozen” along the  $y$  axis. We then turned  $S_{UA}$  back on and let the system evolve for another quarter-cycle to see whether the Bloch vector would regain its full length. Indeed it did—the information had not been permanently lost. Similar numerical experiments, in which the precession axis was changed for the final part of the evolution, yielded similar results. Now, it is certainly possible to cause the correlation to be lost in the environment while the spin is not precessing. It is sufficient to change  $B_{EU}$  itself in the middle of the numerical run. But normally we can understand a time-dependent Hamiltonian as arising from a stationary Hamiltonian acting on a larger

system. (We include in the system whatever is causing the Hamiltonian to change.) So it does not seem unreasonable to assume a time-independent operator  $B_{EU}$  as we do here, in which case it seems that the “ghost part” can be made to return to the  $UA$  system, at least in the short term.

### E. Anisotropy

In all of the above simulations, the magnetic field was taken to be along the  $z$  axis. We associate with this axis the real matrix  $I_U \otimes Z_A$ , in the sense that this matrix appears in our representation of a spin state along the  $z$  axis. In the complex representation, we would use the usual Pauli matrices  $X$  and  $Z$  to represent the spin operators along the  $x$  and  $z$  axes, and since these matrices are real, the  $xz$  plane is special in our model in that the associated spin matrices in the real representation do not involve  $J_U$ . Equivalently, we can say the  $y$  axis is special, given our conventions, in that it is associated purely with  $J_U$  and not with  $I_U$ . All the results we have seen above would be essentially unchanged if we were to have the spin precessing around any axis in the  $xz$  plane. However, when the precession axis is the  $y$  axis, *all* of the above effects disappear. There is neither a periodic nor a long-term change in the length of the Bloch vector, and there is no reduction in the frequency of precession relative to standard quantum mechanics. For a precession axis intermediate between the  $xz$  plane and the  $y$  axis, the above effects all appear but are not as large as when the precession axis is in the  $xz$  plane. Consider the decoherence, for example. For an intermediate precession axis, we observe that the Bloch vector again spirals into the axis of precession without changing its component along that axis. That is, we observe what in the complex theory would be called a loss of phase coherence in the energy basis. But the coherence time becomes longer as the precession axis becomes more parallel to the  $y$  axis.

There is, however, one effect pertaining to the  $y$  axis that has nothing to do with precession. Suppose we have no magnetic field—that is, we set  $S_{UA}$  to zero—and we choose the initial state  $\rho_{UA}(0)$  to be  $(1/4)(I_U \otimes I_A + J_U \otimes J_A)$ . That is, we try to start the spin in the positive  $y$  direction. Then one finds that over an extremely short time, the Bloch vector shrinks to a shorter length (still in the same direction). This is what one expects from Section III: the coefficient of  $J_U$  quickly decreases by the factor  $1 - \lambda^2$ . A Bloch vector of this length is in fact even shorter than what we would get by starting the spin in the  $x$

direction and letting it precess for a quarter cycle. As we will see in the next section, the length in the latter case is  $1 - \lambda^2/2$ . In either case, a literal reading of the ubit model would seem to say that it is impossible to prepare a pure state of spin in the  $y$  direction. Instead, we can prepare only a mixed state in that direction. In this sense the Bloch sphere really is flattened into an oblate ellipsoid. States that lie beyond the boundary of this ellipsoid are simply inaccessible. In Section VI we will introduce an alternative interpretation in which a pure state in the  $y$  direction is possible, but even in this reinterpretation the predicted physics depends on which axis in space we associate with the imaginary Pauli matrix  $Y$ .

Clearly this anisotropy is an effect we need to think about carefully. In the case of spin, the special direction—that is, the  $y$  direction—is a direction in space. But for other physical realizations of a qubit, e.g. a two-level atom, the “direction” one associates with the imaginary Pauli matrix  $Y$  is not a direction in space; usually it is associated with a particular equal-magnitude superposition of the ground and excited states. Moreover, we normally have a unitary symmetry that allows us to freely re-express any problem in whatever basis we choose—the choice of basis has no physical significance. However, the ubit model forces us to treat separately the real and imaginary parts of a Hamiltonian or a density matrix—this is why one axis is distinguished as special in the case of the precessing spin—and this separation between real and imaginary parts could be changed simply by changing the basis. To put it in other words, for any given Hamiltonian there are many distinct Stueckelbergians, depending on the basis in which the Hamiltonian is written. Section VII explores this question further in the case of higher dimensions. For now, though, we try to explain analytically the three effects described in the preceding subsections.

## V. A PRECESSING SPIN—ANALYTICAL TREATMENT

We begin our analysis with Eqs. (47) and (51), in which we have already assumed that the state  $\hat{\rho}_{\parallel}$  is continually being projected onto the space of matrices that commute with  $S_{EU}$ . We write those equations again here:

$$\hat{\rho}_{\parallel}(t) = \frac{1}{2N} \sum_j |\Psi_j\rangle\langle\Psi_j| \otimes \exp_j(t); \quad (66)$$

$$\frac{d\sigma_j}{dt} = -i[\nu_j K_A + iL_A, \sigma_j]. \quad (67)$$

For the particular case we are considering now, the local Stueckelbergian is

$$S_{UA} = I_U \otimes L_A - J_U \otimes K_A = -\frac{\Omega}{2} J_U \otimes Z_A, \quad (68)$$

so that  $K_A = (\Omega/2)Z_A$  and  $L_A = 0$ . Inserting this expression into Eq. (67) gives us

$$\frac{d\sigma_j}{dt} = -\frac{i\nu_j\Omega}{2}(Z\sigma_j - \sigma_j Z), \quad (69)$$

where again  $\nu_j = -i\langle\Psi_j|I_E \otimes J_U|\Psi_j\rangle$ . We can solve Eq. (69) to get

$$\sigma_j(t) = e^{-i(\nu_j\Omega/2)Zt}\sigma_j(0)e^{i(\nu_j\Omega/2)Zt}. \quad (70)$$

We are assuming an initial state given by Eq. (62), in which each  $\sigma_j(0)$  is equal to  $(1/2)(I_A + X_A)$ . In that case we have

$$\sigma_j(t) = \frac{1}{2}[I_A + \cos(\nu_j\Omega t)X_A + \sin(\nu_j\Omega t)Y_A], \quad (71)$$

where  $Y_A = iJ_A$  is the usual imaginary Pauli matrix. (Again  $\sigma_j$  can have a nonzero imaginary part. But in  $\hat{\rho}_{\parallel}$  all imaginary contributions will cancel.) The effective density matrix of the whole system is

$$\hat{\rho}_{\parallel}(t) = \frac{1}{4N} \sum_j |\Psi_j\rangle\langle\Psi_j| \otimes [I_A + \cos(\nu_j\Omega t)X_A + \sin(\nu_j\Omega t)Y_A]. \quad (72)$$

Our strategy will be to try to isolate each of the three effects described above by considering different terms of the perturbation expansion of Eq. (72). (i) To see the frequency reduction, we expand  $\nu_j$  to second order while approximating  $|\Psi_j\rangle\langle\Psi_j|$  in Eq. (72) with its unperturbed value. (ii) A spread in the values of  $\nu_j$  would lead to interference when we do the sum in Eq. (72), which would appear as decoherence. But the  $\nu_j$ 's begin to diverge from each other only in third order. Therefore, to isolate the long-term decoherence, we expand  $\nu_j$  to third order while continuing to treat  $|\Psi_j\rangle\langle\Psi_j|$  as unperturbed. (iii) The ghost part represents a correlation between the ubit and the environment. So to see the ghost part, we will expand  $|\Psi_j\rangle\langle\Psi_j|$  in Eq. (72) out to lowest nontrivial order (it will be first order) while restricting our approximation for  $\nu_j$  to second order so as to avoid the complications of decoherence.



### A. Reduced precession frequency

We begin with Eq. (49) and expand each  $|\Psi_j^+\rangle$  out to second order in  $\lambda = s/\omega$ . Starting with the expansion of  $|\Psi_j\rangle$  given in Appendix A, we find that

$$\nu_j = \nu_n^\pm = -i\langle\Psi_n^\pm|I_E \otimes J_U|\Psi_n^\pm\rangle = \pm \left(1 - \frac{\lambda^2}{2} \sum_k |\langle\Phi_n^+|V|\Phi_k^-\rangle|^2\right), \quad (73)$$

where we have replaced the single index  $j$  with the pair of indices  $n$  and  $\pm$ . The  $+$  and  $-$  refer to the subspaces in which the operator  $G$  takes positive and negative values, respectively. As we have done before, for each value of  $n$  and  $k$  we replace  $|\langle\Phi_n^+|V|\Phi_k^-\rangle|^2$  with its ensemble average,  $1/N$ , thereby arriving at

$$\nu_n^\pm = \pm \left[1 - \frac{\lambda^2}{2}\right] = \nu^\pm. \quad (74)$$

At this order of perturbation theory there is no dependence on  $n$ . The factor  $|\Psi_j\rangle\langle\Psi_j|$  in Eq. (72) we treat as unperturbed, so that  $\sum |\Psi_n^\pm\rangle\langle\Psi_n^\pm|$  can be replaced with  $\sum |\Phi_n^\pm\rangle\langle\Phi_n^\pm| = P_\pm = (1/2)iI_E \otimes (I_U \mp J_U)$ . With these substitutions, one finds that

$$\hat{\rho}_\parallel(t) = \frac{1}{4N} \left\{ I_E I_U I_A + \cos \left[ \left(1 - \frac{\lambda^2}{2}\right) \Omega t \right] I_E I_U X_A + \sin \left[ \left(1 - \frac{\lambda^2}{2}\right) \Omega t \right] I_E J_U J_A \right\}, \quad (75)$$

where we have left out the tensor product symbols. Tracing over the environment, we get that the density matrix of the  $UA$  system is

$$\rho_{UA}(t) = \frac{1}{4} \left\{ I_U I_A + \cos \left[ \left(1 - \frac{\lambda^2}{2}\right) \Omega t \right] I_U X_A + \sin \left[ \left(1 - \frac{\lambda^2}{2}\right) \Omega t \right] J_U J_A \right\}. \quad (76)$$

That is, with these approximations the spin precesses as usual but with its frequency reduced by the factor  $1 - \lambda^2/2$ . In Fig. 6 we compare this theoretical prediction with the numerically observed evolution.

### B. Long-term decoherence

In Eq. (72), both the  $EU$  factor and the  $A$  factor depend on  $j$ , so that we cannot in general separate these two parts of the system when we do the sum. However, to try to get an analytic handle on the decoherence, we assume that within each of the two main subspaces the  $EU$  factor is not significantly correlated with the  $A$  factor; so within each subspace we can say that the average of the product is the product of the averages. If

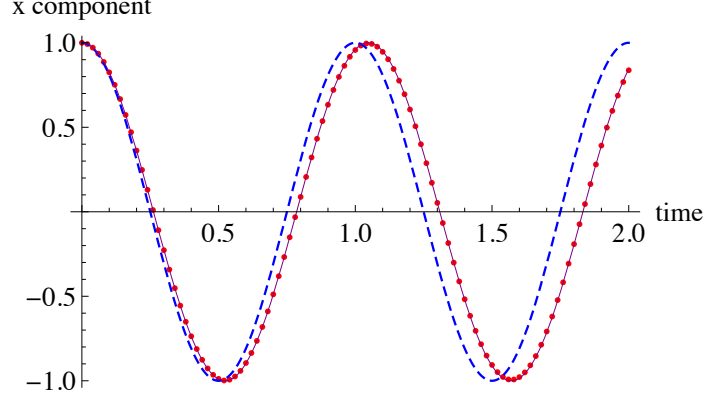


FIG. 6: Comparison of the numerical data with our analytic result for the  $x$  component of the Bloch vector, when the spin is initially in the  $x$  direction and is precessing around the  $z$  axis. The dots (red) are the numerical results and the continuous curve (purple) shows our analytic prediction. Again the dashed curve (blue) represents the standard quantum mechanical precession. Here  $N = 200$ ,  $s = 30$ ,  $\omega = 100$ , and  $\Omega = 2\pi$ .

we also continue to assume that we can replace each  $|\Psi_j\rangle\langle\Psi_j|$  with its unperturbed value  $|\Phi_j\rangle\langle\Phi_j|$ , we get

$$\hat{\rho}_{\parallel}(t) = \frac{1}{4N^2} \left\{ P_+ \otimes \sum_n [I_A + \cos(\nu_n^+ \Omega t) X_A + \sin(\nu_n^+ \Omega t) Y_A] \right. \\ \left. + P_- \otimes \sum_m [I_A + \cos(\nu_m^- \Omega t) X_A + \sin(\nu_m^- \Omega t) Y_A] \right\}. \quad (77)$$

Again using  $P_{\pm} = (1/2)iI_E \otimes (I_U \mp J_U)$ , we can rewrite this expression as

$$\hat{\rho}_{\parallel}(t) = \frac{1}{4N^2} \sum_n [I_E I_U I_A + \cos(\nu_n^+ \Omega t) I_E I_U X_A + \sin(\nu_n^+ \Omega t) I_E J_U J_A]. \quad (78)$$

In writing this last equation we have used the fact, mentioned earlier, that the vectors  $|\Psi_j\rangle$  come in complex-conjugate pairs, and that the real values  $\nu_j$  given by Eq. (49) come in pairs with equal magnitudes and opposite signs. This fact is what allows us to combine the two sums in Eq. (77) into a single sum. Numerical tests confirm that Eq. (78) yields a very close approximation to  $\rho_{UA}$ . For example, in Fig. 7 the dashed curve shows the length of the Bloch vector as predicted by Eq. (78)—with the values of  $\nu_j$  determined numerically—while the dots represent the numerical results obtained directly from Eq. (64). The good agreement provides evidence in support of our assumption of continual projection, made in Subsection

III.D, as well as for the specific assumptions leading to Eq. (78) in the present section. Still, we would prefer an equation that does not require an exact determination of  $\nu_n^+$ . So we take our approximation a step further.

In order to evaluate  $\nu_n^+$  to third order, we find it convenient to use the relation

$$-i\langle\Psi_n|I_E\otimes J_U|\Psi_n\rangle = g_n - \lambda\langle\Psi_n|V|\Psi_n\rangle, \quad (79)$$

which comes from Eqs. (22) and (23). Thus it is sufficient to expand  $g_n$  to third order and  $|\Psi_n\rangle$  to second order. On carrying out this expansion, we find that the third-order contribution to  $-i\langle\Psi_n^+|I_E\otimes J_U|\Psi_n^+\rangle$  is

$$\begin{aligned} -i\langle\Psi_n^+|I_E\otimes J_U|\Psi_n^+\rangle^{(3)} = \frac{\lambda^3}{2} & \left[ \langle\Phi_n^+|V|\Phi_n^+\rangle\langle\Phi_n^+|VP_-V|\Phi_n^+\rangle - \langle\Phi_n^+|VP_-VP_-V|\Phi_n^+\rangle \right. \\ & \left. - \sum_k \frac{|\langle\Phi_n^+|VP_-V|\Phi_k^+\rangle|^2}{\langle\Phi_n^+|V|\Phi_n^+\rangle - \langle\Phi_k^+|V|\Phi_k^+\rangle} \right]. \end{aligned} \quad (80)$$

We consider the three terms in this expression separately. First, following the reasoning in Eq. (29) we approximate  $\langle\Phi_n^+|VP_-V|\Phi_n^+\rangle$  as 1, so that the first term square brackets in Eq. (80) can be approximated as  $\langle\Phi_n^+|V|\Phi_n^+\rangle$ , which we have called  $v_n$ . We can write the second term as

$$\langle\Phi_n^+|VP_-VP_-V|\Phi_n^+\rangle = \sum_k |\langle\Phi_n^+|V|\Phi_k^-\rangle|^2 \langle\Phi_k^-|V|\Phi_k^-\rangle. \quad (81)$$

Again we use  $|\langle\Phi_n^+|V|\Phi_k^-\rangle|^2 \approx 1/N$ , so that we are left with a sum over the eigenvalues of the negative-subspace part of  $V$ . Those eigenvalues have a typical size that does not depend on  $N$ , but their ensemble average is zero, and we expect their sum to be of order  $\sqrt{N}$  because of random fluctuations. Thus the whole term diminishes as  $1/\sqrt{N}$ , and since we assume a large environment dimension we take this term to be zero.

The third term in Eq. (80) is more complicated. We can approximate the numerator as  $1/N$ . The denominator, which we can write as  $v_n - v_k$ , can be small, so that the sum might depend crucially on the spacing of the values  $v_k$ . Those values follow a semicircle distribution, but this fact does not tell us how the difference  $v_n - v_k$  is distributed. To get a somewhat crude approximation, we ignore the issue of the spacing of values and simply replace the sum with an integral, assuming a semicircle distribution, and take the Cauchy principal value of the integral. This gives us

$$\sum_k \frac{1/N}{v_n - v_k} \approx \int_{-2}^2 \frac{1}{N} \frac{1}{v_n - v} \eta(v) dv = \frac{v_n}{2} \quad (82)$$

for the semicircle distribution  $\eta(v) = (N/\pi)\sqrt{1-(v/2)^2}$ . The third term then combines quite simply with the first term to give us

$$-i\langle\Psi_n^+|I_E\otimes J_U|\Psi_n^+\rangle^{(3)}\approx\frac{\lambda^3v_n}{4}. \quad (83)$$

We can now put this result back into Eq. (78) and convert the sum to an integral, again assuming a semicircle distribution for  $v_n$ , obtaining a result for the decay similar to what we saw in Section III.

$$\rho_{UA}\approx\frac{1}{4}\{I_U I_A+f(t)[\cos(\xi\Omega t)I_U X_A+\sin(\xi\Omega t)J_U J_A]\}, \quad (84)$$

where

$$f(t)=\left|\frac{2J_1(\lambda^3\Omega t/2)}{\lambda^3\Omega t/2}\right| \quad (85)$$

and  $\xi=1-\lambda^2/2$  is the frequency reduction factor we computed in the preceding subsection. Fig. 7 shows the length of the Bloch vector as a function of time, as computed from Eq. (84), and compares this approximation with the numerical values and with Eq. (78). Clearly our approximation of the  $\nu_j$ 's is not ideal, but it seems to give us at least a reasonable estimate of the coherence time  $\tau$ , which is of order

$$\tau\approx\frac{1}{\lambda^3\Omega}. \quad (86)$$

(In fact  $\tau$  has to be over five times this value to make  $f(\tau)$  less than  $1/e$ , though of course the curve is not exponential.) Moreover, the detailed shape of the curve traced out by our numerical results in Fig. 7 surely depends on the specific model of the environment we have chosen, whereas the scaling of the coherence time with  $\lambda$  and  $\Omega$  has a better chance of carrying over to other models.

### C. The ghost part

To understand the ghost part, we begin by replacing each  $\nu_j$  in Eq. (72) with its second-order value, which is  $\pm\xi$  for  $\nu_n^\pm$ . (Again  $\xi=1-\lambda^2/2$ .) This approximation allows us to write  $\hat{\rho}_\parallel(t)$  as

$$\hat{\rho}_\parallel(t)=\frac{1}{2N}\left(\sum_n|\Psi_n^+\rangle\langle\Psi_n^+|\otimes\sigma^+(t)+\sum_n|\Psi_n^-\rangle\langle\Psi_n^-|\otimes\sigma^-(t)\right), \quad (87)$$

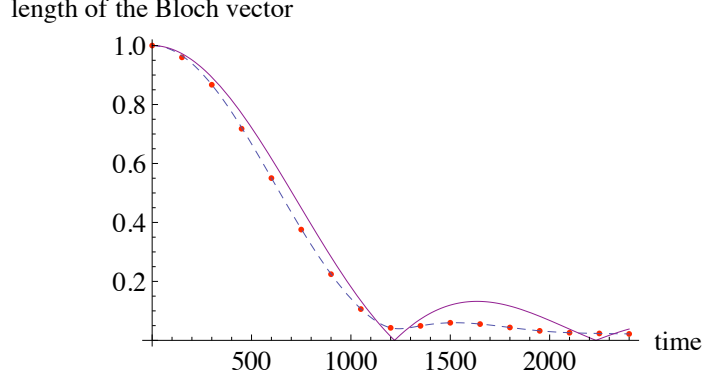


FIG. 7: The spin begins in the  $x$  direction and spirals into the  $z$  axis as it precesses around that axis. Here we plot the length of the Bloch vector as a function of time. The dots (red) show the numerical results, and the dashed curve (blue) gives the prediction of Eq. (78) with the  $\nu_j$ 's computed numerically. The solid curve (purple) shows our analytic approximation given by Eqs. (84) and (85), in which we have made a simple approximation for the values of  $\nu_j$ . Here  $N = 1400$ ,  $s = 30$ ,  $\omega = 300$ , and  $\Omega = 2\pi$ . The “bounce” we see here does not show up in Fig. 4, because in that case a small wobble around the  $z$  axis does not significantly affect the Bloch vector's length.

where

$$\sigma^\pm = \frac{1}{2} [I_A + \cos(\xi\Omega t)X_A \pm \sin(\xi\Omega t)Y_A]. \quad (88)$$

Expanding  $|\Psi_n^+\rangle$  and  $|\Psi_n^-\rangle$  to first order in  $\lambda$ , we find

$$\sum_n |\Psi_n^+\rangle \langle \Psi_n^+| = P_+ + \frac{\lambda}{2} [P_- V P_+ + P_+ V P_-] \quad (89)$$

and

$$\sum_n |\Psi_n^-\rangle \langle \Psi_n^-| = P_- - \frac{\lambda}{2} [P_- V P_+ + P_+ V P_-]. \quad (90)$$

Upon inserting these expressions in Eq. (87) we get

$$\hat{\rho}_\parallel(t) = \frac{1}{4N} [I_E I_U I_A + \cos(\xi\Omega t) I_E I_U X_A + \sin(\xi\Omega t) \{I_E J_U + \lambda (P_- B P_+ + P_+ B P_-)\} J_A], \quad (91)$$

where we have again left out the tensor product symbols.

The term proportional to  $\lambda$  is what we are calling the ghost part. Notice that it accompanies what we would normally think of as the  $y$  component of the Bloch vector (that is, the part proportional to  $J_U \otimes J_A$ ). Except for the ghost part and the frequency reduction,

the above expression is identical to the standard quantum mechanical evolution given in Eq. (61). Of course our expression for the ghost part is valid only to first order in  $\lambda$ . If we expand each  $|\Psi_j\rangle$  out to second order and trace over the environment, we find that the density matrix of the  $UA$  system is

$$\rho_{UA}(t) = \frac{1}{4} \left[ I_U I_A + \cos(\xi\Omega t) I_U X_A + \left(1 - \frac{\lambda^2}{2}\right) \sin(\xi\Omega t) J_U J_A \right], \quad (92)$$

which shows the shortening of the  $y$  component of the Bloch vector. Here we have assumed a large environment, so that  $\text{Tr}_E B_{EU}$  can be taken to be zero. (The ensemble average of that partial trace is zero, with fluctuations of order unity. The factor of  $1/(4N)$  normalizing the density matrix renders such fluctuations negligible.) Under this assumption, the ghost part has disappeared. Fig. 8 compares Eq. (92) with our numerical results.

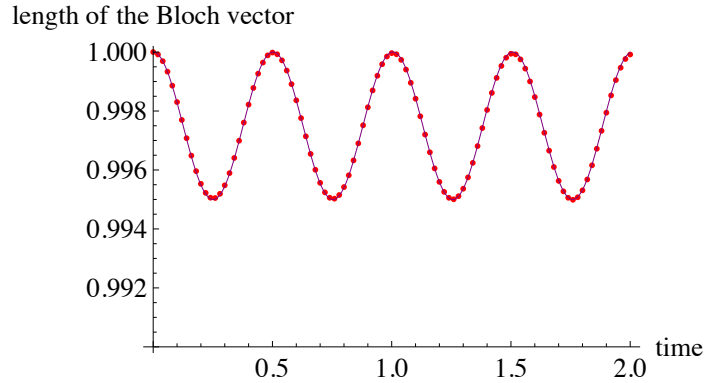


FIG. 8: Comparison of our analytic result with the numerical data for the periodic variation of the length of the Bloch vector. The spin starts in the positive  $x$  direction and precesses around the  $z$  axis. The dots (red) show the numerical results and the curve (purple) is obtained from Eq. (92). Again the minima occur when the Bloch vector is in the positive or negative  $y$  direction. Here  $N = 200$ ,  $s = 30$ ,  $\omega = 300$ , and  $\Omega = 2\pi$ .

The picture that emerges, then, is that part of the  $y$  component of the Bloch vector has been lost, but it has been replaced with the ghost part, which represents a correlation between the ubit and the environment. When one traces over the environment, what remains for the  $UA$  system is a Bloch sphere that has been flattened along the  $y$  axis by the factor  $1 - \lambda^2/2$ . However, there is an alternative interpretation of the ghost part that seems at least as reasonable; this alternative interpretation is the subject of the next section.

## VI. ALTERNATIVE INTERPRETATION

Initially we assumed that Alice could perform any measurement on the  $UA$  system. One such measurement for the case of a spin-1/2 particle is to test whether the spin is in the positive  $y$  direction. In ordinary quantum mechanics this test would be represented by the projection operator  $(1/2)(I + Y)$ . The direct translation of this operator into real-vector-space terms, according to the prescription of Section II, is the rank-two projection operator  $(1/2)(I_U \otimes I_A + J_U \otimes J_A)$ . If this measurement were performed on the completely mixed state and the “yes” outcome were obtained, the state of the  $UA$  system would be collapsed into the state  $\rho_{UA} = (1/4)(I_U \otimes I_A + J_U \otimes J_A)$ , which is the real-vector-space version of spin in the positive  $y$  direction. But this state cannot persist for any nonzero duration under the projection assumption of Subsection III.D. So it would seem that Alice cannot prepare a pure state of spin in the  $y$  direction, as we noted earlier.

But there is another way Alice might try preparing a spin state in the  $y$  direction. She could perform the measurement  $(1/2)(I_U \otimes I_A + I_U \otimes X_U)$ —testing for spin in the positive  $x$  direction—and upon obtaining the outcome “yes” she could allow the spin to precess around the  $z$  axis for a quarter-cycle, thereby preparing the effective  $EUA$  state

$$\hat{\rho}_y = \frac{1}{4N} [I_E I_U I_A + \{I_E J_U + \lambda(P_- B P_+ + P_+ B P_-)\} J_A], \quad (93)$$

in accordance with Eq. (91). Moreover, if at some later time she wanted to test for this state, she could do so by first allowing the spin to precess by a quarter-cycle (in the same direction as before) and then performing the measurement  $(1/2)(I_U \otimes I_A - I_U \otimes X_A)$ , that is, a test corresponding to the negative  $x$  direction. (Recall our numerical experiments in which the ghost part could be recovered after a long time during which there was no precession.) This sequence of operations is perfectly permissible according to our rules; so it should count as a valid measurement. In this sense the state  $\hat{\rho}_y$  given in Eq. (93) acts like what we would normally think of as a pure state of spin in the  $y$  direction. One can test for this state and get the “yes” outcome with unit probability. So it seems that Alice can prepare a pure spin state in the  $y$  direction after all. It does not look like a pure state when one traces over the environment—it looks like a mixed state—but it acts like a pure state. Again, this effect can be seen as an example of “false decoherence” [23–26], in which part of the environment adiabatically follows the evolution of the system of interest. In cases of false decoherence it

is misleading simply to trace out the environment, and we seem to have the same kind of situation here.

In our alternative interpretation, then, the Bloch sphere is not flattened. To first order in  $\lambda$ , a general pure state of spin would be expressed in this interpretation by the *EU*A density matrix

$$\hat{\rho}_{\vec{b}} = \frac{1}{4N} [I_E I_U I_A + b_x I_E I_U X_A + b_y \{I_E J_U + \lambda (P_- B P_+ + P_+ B P_-)\} J_A + b_z I_E I_U Z_A], \quad (94)$$

for some unit vector  $\vec{b} = (b_x, b_y, b_z)$ . (Eq. (94) is valid only in the special case we have been considering in which the environment starts out in the completely mixed state. For a more general initial state the form would be different, as we will see in the following paragraphs.) Alice can prepare any such state, and if  $|\vec{b}| = 1$  she can test for the state. It is only that the mathematical description of the state is not what we would have expected, since it implicates part of the environment.

We now spell out the alternative interpretation more completely and for a more general case. Let  $A$  be a  $d$ -dimensional system, and let us assume for now that  $\nu_j$  can be approximated by its second-order expansion. (This assumption will be relaxed shortly.) Eq. (47) gives the general form of a state consistent with our projection assumption. Our observer Alice has no direct control over the environment portion of the state, but according to our initial assumptions she can at least prepare a state  $\sigma(0)$  of the system  $A$ . If she does so, the effective state of the whole system will have the form

$$\hat{\rho}_{\parallel}(0) = \frac{1}{2} \sum_n c_n (|\Psi_n^+\rangle\langle\Psi_n^+| + |\Psi_n^-\rangle\langle\Psi_n^-|) \otimes \sigma(0), \quad (95)$$

where  $\sigma(0)$  is a real, positive semi-definite matrix with unit trace, and the non-negative coefficients  $c_n$  sum to unity. These coefficients are determined by the initial state of the environment and ubit (the initial state of the environment is no longer assumed to be the completely mixed state), and we assume that Alice has had no control over their values.

Alice can manipulate the initial state (95) by choosing a Stueckelbergian  $S_{UA}$  of the form  $S_{UA} = I_U \otimes L_A - J_U \otimes K_A$ . In general the application of this Stueckelbergian would cause the matrix  $\sigma(0)$  to evolve in a different way for each term  $|\Psi_n^\pm\rangle\langle\Psi_n^\pm|$ , but as we have seen, to second order in  $\lambda$  there are only two distinct value of  $\nu_j$ , namely,  $\nu^\pm = \pm\xi$ . So the initial state evolves into

$$\hat{\rho}_{\parallel}(t) = \frac{1}{2} \sum_n c_n \left( |\Psi_n^+\rangle\langle\Psi_n^+| \otimes \sigma(t) + |\Psi_n^-\rangle\langle\Psi_n^-| \otimes \overline{\sigma(t)} \right), \quad (96)$$



where

$$\sigma(t) = e^{-iH't}\sigma(0)e^{iH't} \quad (97)$$

and the effective Hamiltonian is  $H' = \xi K_A + iL_A$  in accordance with Eq. (51).

We can rewrite Eq. (96) as

$$\hat{\rho}_{\parallel} = \frac{1}{2} (\Gamma^+ \otimes \sigma + \Gamma^- \otimes \bar{\sigma}), \quad (98)$$

where

$$\Gamma^{\pm} = \sum_n c_n |\Psi_n^{\pm}\rangle \langle \Psi_n^{\pm}| \quad (99)$$

and we have written  $\sigma(t)$  simply as  $\sigma$ . Now, every complex  $d \times d$  positive semi-definite matrix with unit trace can be written in the form given in the right-hand side of Eq. (97) for some real  $\sigma(0)$  and some Hermitian  $H'$ . Because Alice can control  $\sigma(0)$  and  $H'$  (and  $t$ ), she can determine the matrix  $\sigma$  in the state (98). (She cannot control  $\Gamma^{\pm}$ .) We can also write Eq. (98) in the following way:

$$\hat{\rho}_{\parallel} = \frac{1}{2} (\mathcal{I} \otimes \text{Re } \sigma + \mathcal{J} \otimes \text{Im } \sigma), \quad (100)$$

where

$$\mathcal{I} = \Gamma^+ + \Gamma^- \quad \text{and} \quad \mathcal{J} = i(\Gamma^+ - \Gamma^-). \quad (101)$$

The matrix  $\sigma$  evolves according to the equation

$$\frac{d\sigma}{dt} = [-iH', \sigma]. \quad (102)$$

In this respect  $\sigma$  behaves like a density matrix. Eq. (100) is reminiscent of Eq. (11) in Section II, but the matrices  $\mathcal{I}$  and  $\mathcal{J}$  act on the whole  $EU$  space rather than just on  $U$ .

Our alternative interpretation is based on an orthogonal transformation  $O$  on the  $EU$  system that turns  $\mathcal{I}$  and  $\mathcal{J}$  into tensor products. The intuition is that  $O$  identifies a “dressed ubit”  $U'$  which takes the place of the original ubit in the description of any state of Alice’s system. Specifically, in Appendix C we construct a transformation  $O$  having the following effect:

$$O|\Psi_n^+\rangle\langle\Psi_n^+|O^T = \frac{1}{2}|n\rangle\langle n| \otimes (I_U - iJ_U), \quad (103)$$

where the vectors  $|n\rangle$  constitute an orthonormal (real) basis for the environment. (For our purposes it does not matter which basis we choose.) From this equation it follows that

$$OIO^T = \rho_E \otimes I_U \quad \text{and} \quad OJO^T = \rho_E \otimes J_U, \quad (104)$$

where  $\rho_E = \sum_n c_n |n\rangle\langle n|$  is a density matrix of the environment. In the alternative interpretation, our description of Alice's system is given not by  $\rho_{UA}$  but rather by the density matrix  $\rho_{U'A}$ , defined as

$$\rho_{U'A} = \text{Tr}_E O \hat{\rho}_{\parallel} O^T. \quad (105)$$

When Eq. (100) is valid, that is, when we can neglect contributions to  $\nu_j$  of higher than second order, Eq. (104) implies that

$$O \hat{\rho}_{\parallel} O^T = \frac{1}{2} \rho_E \otimes (I_U \otimes \text{Re } \sigma + J_U \otimes \text{Im } \sigma) \quad (106)$$

and therefore

$$\rho_{U'A} = \frac{1}{2} (I_U \otimes \text{Re } \sigma + J_U \otimes \text{Im } \sigma). \quad (107)$$

Note that to this order in perturbation theory,  $O \hat{\rho}_{\parallel} O^T$  is equal to the tensor product  $\rho_E \otimes \rho_{U'A}$  and we can work out the evolution of  $\rho_{U'A}$  without explicitly referring to the environment. In fact the theory is almost the same as what we would have gotten simply by applying the complex-to-real prescription of Section II. The only difference is the constant factor  $\xi = 1 - \lambda^2/2$  in the effective Hamiltonian  $H' = \xi K_A + i L_A$ .

We now relax the restriction to the second-order expansion of  $\nu_j$ . Starting with the same initial state (95), we find that the states Alice can create have the form

$$\hat{\rho}_{\parallel} = \frac{1}{2} \sum_n c_n (|\Psi_n^+\rangle\langle\Psi_n^+| \otimes \sigma_n + |\Psi_n^-\rangle\langle\Psi_n^-| \otimes \bar{\sigma}_n), \quad (108)$$

where each  $\sigma_n$  is a positive semi-definite complex matrix with unit trace. This equation generalizes Eq. (98). Applying our transformation  $O$ , we get

$$O \hat{\rho}_{\parallel} O^T = \frac{1}{2} \sum_n c_n |n\rangle\langle n| \otimes (I_U \otimes \text{Re } \sigma_n + J_U \otimes \text{Im } \sigma_n), \quad (109)$$

which is no longer a tensor product between the environment and the  $UA$  system. Now tracing over the environment gives us

$$\rho_{U'A} = \text{Tr}_E O \hat{\rho}_{\parallel} O^T = \frac{1}{2} (I_U \otimes \text{Re } \sigma + J_U \otimes \text{Im } \sigma), \quad (110)$$

where  $\sigma = \sum_n c_n \sigma_n$ . Thus it remains true that Alice can describe her system by a  $d \times d$  complex density matrix  $\sigma$ . However, in general this matrix will not evolve according to Eq. (102). It might decohere, for example.

It is interesting to follow the evolution of  $\rho_{U'A}$  numerically for the case of a precessing spin. That is, we compute the evolution of  $\hat{\rho}_{\parallel}(t)$  as always, but instead of simply tracing over the environment to get  $\rho_{UA}$ , we apply  $O$  before performing the trace, so as to get  $\rho_{U'A}$ . As one would expect from the results of this section, the ghost effect is no longer seen: over the short term, the Bloch vector associated with  $\rho_{U'A}$  retains its full length over the whole cycle. However the other two effects—the reduced precession frequency and the long-term decoherence—persist and appear to be the same as before. In Eq. (102) the reduction in frequency appears as the constant  $\xi$  in  $H'$ . We do not see the decoherence in this equation because it does not include third-order contributions to  $\nu_j$ .

The notion of a dressed ubit raises a new question. Throughout our analysis we have assumed that Alice is able to build a device that implements an arbitrary Stueckelbergian  $I_E \otimes S_{UA}$  (though as we have seen, the ubit-environment interaction can render certain components of this operator ineffective). However, if Alice can prepare states of the form (100), involving the environment-ubit matrices  $\mathcal{I}$  and  $\mathcal{J}$ , one might wonder whether she also has the ability to implement a Stueckelbergian of the form  $\hat{S} = \mathcal{I} \otimes S_A + \mathcal{J} \otimes T_A$ , where  $S_A$  is antisymmetric and  $T_A$  is symmetric. Evidently she has some control over the environment, but does she have the right kind of control to be able to implement  $\hat{S}$ ? It seems likely that the correct answer is no. Whereas her ability to prepare states of the form (100) follows from our initial assumptions, we have not found a way by which she might implement this kind of Stueckelbergian. Moreover, the theory is self-consistent as it stands, even though Alice’s local Stueckelbergians are linear combinations of  $I_U$  and  $J_U$  in the original basis, while the states she can prepare can be expressed as such linear combinations only after we have rotated the  $EU$  system by the transformation  $O$ . Indeed, Alice prepares these “exotic” states by using “ordinary” Stueckelbergians, relying on the interaction matrix  $B_{EU}$  to bring the environment into the picture.

Our alternative interpretation also forces us to extend the argument of Subsection III.E showing that there can be no signaling through the ubit. If Alice can prepare states involving the environment, is it possible that she could leave some mark on the environment that could be read by a second observer? The answer is no. If the second observer does not have access to the  $A$  system itself, then that observer’s knowledge is contained in the reduced density matrix  $\text{Tr}_A \hat{\rho}_{\parallel}$ . For the general form given in Eq. (108), this partial trace is always equal to  $(1/2)\mathcal{I}$ , regardless of what Alice has done. So Alice cannot send a signal by this means.

## VII. THE REAL-IMAGINARY SPLIT

In our analysis of the precessing spin, we began with the Hamiltonian  $H = \hbar(\Omega/2)Z$  and converted it into a Stueckelbergian according to the prescription given in Eq. (10), which asks us to split  $H$  into its real and imaginary parts. The result was  $S_{UA} = -(\Omega/2)J_U \otimes Z_A$ . But for the very same physical situation, we could just as well have associated the magnetic field direction with the Pauli matrix  $Y$  instead of  $Z$ , in which case the Hamiltonian would have been  $H = \hbar(\Omega/2)Y$  and the Stueckelbergian would have been  $S_{UA} = (\Omega/2)I_U \otimes J_A$ . This latter Stueckelbergian does not involve the ubit matrix  $J_U$ , and this fact would have made the predictions of our model different from those we obtained in Sections IV and V. In particular there would be no decoherence and no retardation of the precession. Moreover we would get yet another set of results if we chose to assign to the magnetic field direction some other spin matrix such as  $(X+Y)/\sqrt{2}$ . Thus, as we have said before, a given Hamiltonian can give rise to many distinct Stueckelbergians, depending on the basis in which the Hamiltonian is written.

Let us see how this ambiguity is expressed for an  $A$  system with dimension  $d$ . Given a certain Hamiltonian, we can imagine changing the basis first and then applying Eq. (10). This procedure would give us, for a specified Hamiltonian  $H$ , a Stueckelbergian  $S_{UA}$  defined by

$$S_{UA} = I_U \otimes \text{Re}(-iUHU^\dagger) + J_U \otimes \text{Im}(-iUHU^\dagger), \quad (111)$$

where  $U$  is a unitary transformation representing the change of basis. (After performing this operation, we could perform an orthogonal transformation on the  $A$  system, but this second change of basis does not affect the real-imaginary split.) As the dimension  $d$  grows, this freedom opens up many new possibilities. For example, even for a set of non-interacting particles, the complex-to-real correspondence of Section II could be carried out in an entangled basis. And the predicted physics would in general be different for different choices.

In the specific case of a precessing spin, our model as currently formulated implies that in addition to the magnetic field direction, an experimenter should in principle also be able to set the orientation of another physically important axis, namely, the axis with which we associate the imaginary Pauli matrix  $Y$ . The angle between this second axis and the magnetic field direction would affect both the rate of precession and the decoherence rate.

On the other hand, it is conceivable that if something like the ubit model does apply

to our world, there could be a law of nature that restricts or determines the way in which the real-imaginary split is made. The simplest possibility would be that the conversion described by Eq. (10) is required to be carried out in a basis in which the Hamiltonian is real. (This law would have meaning only for an isolated system.) Then the Stueckelbergian would be uniquely determined by the Hamiltonian—up to an orthogonal transformation on the  $A$  system—and it would always take the form

$$S_{UA} = -J_U \otimes K_A. \quad (112)$$

In this case the ubit would be playing a role very much like that of the phase factor  $e^{-iEt/\hbar}$  for a state with definite energy  $E$ . In the example of a precessing spin, in our alternative interpretation there would be no need to specify a second axis as in the preceding paragraph. The reduced precession frequency and the decoherence would always be just as we described them in Sections IV and V, because the direction of the magnetic field would always be associated with a real spin matrix. Moreover, for an  $A$  system of arbitrary dimension, the effective Hamiltonian of Section VI would always have the form  $H' = \xi K_A$ , where  $K_A$  is a symmetric real matrix—there would be no imaginary part  $iL_A$ . One consequence is that *all* processes would be slowed by exactly the same factor  $\xi$ , in which case the slowing would be unobservable. Thus if we (i) adopt the alternative interpretation of Section VI and (ii) assume that Eq. (10) is always to be applied in a basis in which the Hamiltonian is real, then of the deviations from standard quantum theory we have identified, the only one that could actually be observed is the decoherence.

## VIII. DISCUSSION

Over the years a number of researchers have taken an interest in real-vector-space quantum theory. In a paper published around the same time as Stueckelberg's papers on the subject, Dyson argued that when we make use of the time-reversal operator, we are implicitly basing our theory on the field of real numbers, since that operator is antilinear in the complex theory but can be expressed as a linear operator in the real theory [28]. More recently Gibbons and others have argued that the complex structure might be an emergent property associated with the emergence of a time direction [7, 8]. Myrheim has considered the possibility of a real-vector-space theory in which Stueckelberg's rule is applied to states

but not to observables, and has shown that such a theory allows a canonical commutation relation of the form  $[x, p] = J\hbar$  even for a finite-dimensional state space [20]. There has also been work on real vector spaces in quantum information theory [16–19, 29], including the proof mentioned earlier that  $n + 1$  rebits can simulate  $n$  qubits.

In this paper we have considered a specific model within real-vector-space quantum theory, characterized by the inclusion of a single binary object, the ubit  $U$ , which is not localized and which can interact with anything in the universe. We have focused on characterizing the effective theory describing the behavior of a system consisting of the ubit and a local real-vector-space object  $A$ . From the outset we have assumed that our local observer Alice has the ability to prepare any initial state of the  $UA$  system and could build an apparatus that would implement any Stueckelbergian  $S_{UA}$ . However, if both  $s$ —the size of a typical eigenvalue of ubit-environment interaction—and the ubit’s rotation rate  $\omega$  are in effect infinitely large compared to any local frequency, then the local observer’s abilities are severely constrained. Assuming a large environment, the interaction between the ubit and the environment has the effect of enforcing Stueckelberg’s rule on the operators of the  $UA$  system. If Alice tries to prepare a state  $\rho_{UA}$  that does not commute with  $J_U \otimes I_A$ , the noncommuting part will instantly disappear. (The noncommuting part has zero trace—so its disappearance does not entail a loss of probability.) And if she tries to use a Stueckelbergian  $S_{UA}$  that does not commute with  $J_U \otimes I_A$ , its noncommuting part will have no observable effect. Note that in our model neither the state  $\hat{\rho}$  of the whole universe nor the full Stueckelbergian  $\hat{S}$  commutes with  $I_E \otimes J_U \otimes I_A$ . So from a global perspective the theory is quite different from standard quantum theory. It is only at the local level that we see all operators commuting with  $J_U$ .

Moreover, this automatic enforcement of Stueckelberg’s rule at the local level does not cause the effective theory to be equivalent to standard quantum mechanics, because the ubit-environment interaction has other effects as well. As we have seen, the degree of divergence between the ubit model and standard quantum theory depends on how one interprets the ubit model. We have distinguished two interpretations, which we have called the literal interpretation and the alternative interpretation.

The literal interpretation assumes that  $\rho_{UA}$  is the full and correct description of the state observed by Alice. If we adopt this interpretation, then in addition to losing the states and transformations that would have violated Stueckelberg’s rule, we also lose certain states and

transformations that quantum mechanics normally allows. For example, for the spin of a spin-1/2 particle, the Bloch sphere is in effect flattened into an oblate ellipsoid. Except in a certain preferred plane, it is impossible to prepare a pure state of spin in any direction. In particular, in standard quantum theory there will always be one direction with which we associate a purely imaginary spin matrix (usually called the  $y$  direction). This is the direction in which, according to the literal interpretation, the purity of a spin state is the most limited. Moreover, if one prepares a pure state in the favored plane and allows the spin to precess out of that plane, then the purity of the state will temporarily be diminished in order for the Bloch vector to be able to fit inside the flattened sphere.

One can see how the ubit model thus provides a kind of interpolation between standard complex-vector-space quantum theory and standard real-vector-space quantum theory with no ubit. In the former case, we have the full Bloch sphere. In the latter case, we have a “Bloch disk,” as all rebit states are confined to a plane. In the ubit model, in the literal interpretation, the width of the narrow axis of the flattened Bloch sphere depends on the parameter  $\lambda = s/\omega$ . As this parameter gets larger—e.g., as the ubit’s rotation rate diminishes—the Bloch ellipsoid becomes more flattened. Indeed, when the ubit is not rotating at all, there is nothing preventing the ubit’s interaction with the environment from always bringing  $\rho_{UA}$  to the form  $(1/2)I_U \otimes \rho_A$ , in which case the ubit becomes inconsequential and, since  $\rho_A$  is real, the spin is confined to the Bloch disk.

However, there are good reasons to prefer the alternative interpretation. In this interpretation the matrix  $\rho_{UA}$  does not describe what Alice sees. Instead, the state of Alice’s system is described by  $\rho_{U'A} = \text{Tr } O \hat{\rho}_{\parallel} O^T$ , where  $O$  is an orthogonal transformation on the  $EU$  system. In the case of a spin-1/2 particle, in the alternative interpretation the Bloch sphere is not flattened: the set of possible density matrices  $\rho_{U'A}$  includes all the pure states on the sphere. In effect the density matrix  $\rho_{U'A}$  includes the ghost part that would have disappeared upon simply tracing  $\hat{\rho}_{\parallel}$  over the environment to get  $\rho_{UA}$ . It makes sense to describe Alice’s states in terms of  $\rho_{U'A}$ , because this description reflects what Alice is actually able to do—what states she can prepare and test for—according to our original assumptions. We have noted that though Alice’s actions involve the environment, they do so in a way that does not convey information.

The alternative interpretation yields a greater degree of agreement between the ubit model and standard quantum theory. To second order in our parameter  $\lambda = s/\omega$ , the

only difference between the two theories, with regard to the behavior of the local system, is a factor  $\xi \lesssim 1$  multiplying the real part of the Hamiltonian. Moreover, we have also contemplated the possibility of a law of nature that would force the local Stueckelbergian to be of the form  $-J_U \otimes K_A$ , in which case the factor  $\xi$  becomes a universal retardation factor and therefore unobservable.

As we go to higher order in  $\lambda$  the correspondence breaks down further, and the ubit model will surely be very different from standard quantum theory once  $\lambda$  is of order unity. One difference we have been able to identify is the spontaneous decoherence, which appears as a third-order effect. For the case of a precessing qubit, we have an analytic estimate of the coherence time in our model: it is  $\tau \approx 1/(\lambda^3 \Omega)$ , where  $\Omega$  is the angular frequency of precession. Thus experimental observations of the product  $\tau \Omega$  can be used to place an upper bound on  $\lambda$ . A particularly high value of  $\tau \Omega$  was obtained recently by Chou *et al.* for an optical atomic transition [30]. These authors observed a coherence time around 10 seconds for a transition with angular frequency  $\Omega = 7 \times 10^{15} \text{ s}^{-1}$ . This result implies that our parameter  $\lambda$  cannot be much larger than  $10^{-6}$ . Note that the slowing by the factor  $\xi$ , being a second-order effect, could conceivably place a tighter bound on  $\lambda$ , but because of our uncertainty about the real-imaginary split, it is hard to know how to look for this slowing.

Again, the model we have described here is highly nonlocal, and it is interesting to ask whether we would get similar results from a local theory in which the ubit is replaced with a ubit field. (One is reminded of Refs. [18, 19], in which the authors show that a complex-vector-space quantum computation can be simulated *locally* by a real-vector-space quantum computation.) We have relied on the environment to effectively cut out the parts of the local Stueckelbergian and local density matrix that do not satisfy Stueckelberg's rule. In order to get this kind of environmental effect with a ubit field, we would probably need the field to be such that a change at one location would quickly cause similarly large changes in the surrounding region, so as to bring a large part of the environment into the dynamics to create effects similar to what we have seen here.

Clearly the work presented here leaves many questions unanswered. It is only an initial exploration of the model. But we can at least see that the model is not in obvious conflict with observation as long as  $\lambda$  is sufficiently small. Note that in a complete theory it should not be necessary that this parameter remain constant for all time. So the degree of deviation from standard quantum theory could conceivably be different at different stages of the



universe's evolution. The effective theory might be experimentally indistinguishable from standard quantum theory at the present time but could look much more like ordinary real-vector-space quantum theory (with no ubit) at some very early time. In this sense the familiar complex structure of quantum theory could indeed be an emergent feature in the ubit model.

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## APPENDIX A: BASIC PERTURBATION EXPANSION

To summarize our notation: the Hermitian operator on which we base our perturbation expansions is

$$G = G_0 + \lambda V, \tag{113}$$

where  $\lambda = s/\omega$  and the unperturbed matrix  $G_0$  has only two distinct eigenvalues,  $\pm 1$ , each corresponding to an  $N$ -dimensional subspace. The states  $|\Phi_n^\pm\rangle$  are the eigenstates of  $G_0$  that diagonalize  $V$  within each of these two subspaces, and  $|\Psi_n^\pm\rangle$  are the corresponding eigenstates of  $G$ . The exact eigenvalues of  $G$  are labeled  $\pm g_n$ .

To do our perturbation calculations, we need to expand  $g_n$  out to third order and  $|\Psi_n^\pm\rangle$  out to second order. We write here the expansions for  $g_n$  and  $|\Psi_n^+\rangle$ . As we have mentioned earlier, the eigenvector  $|\Psi_n^-\rangle$  is the complex conjugate of  $|\Psi_n^+\rangle$ . Our expansion for the eigenvectors is derived from Eqs. (141–143) of Ref. [31]. Our expansion for the eigenvalues is obtained from the expanded eigenvectors together with Eq. (7) of the same paper. The normalization convention in that paper is such that the expanded vectors are not of unit length, but in the expansion of  $|\Psi_n^+\rangle$  below we have renormalized the vector to unit length (to second order in  $\lambda$ ), which introduces the two second-order terms proportional to  $|\Phi_n^+\rangle$ .

Here we use the notation  $M_{nk}$  as an abbreviation for  $\langle \Phi_n^+ | M | \Phi_k^+ \rangle$ , for any matrix  $M$ . Again,  $P_-$  is the projection onto the space spanned by the states  $|\Phi_n^-\rangle$ .

The expansion for  $g_n$  is

$$g_n = 1 + \lambda V_{nn} + \frac{\lambda^2}{2} (VP_-V)_{nn} + \frac{\lambda^3}{4} \left[ (VP_-VP_-V)_{nn} - V_{nn}(VP_-V)_{nn} + \sum_k' \frac{|(VP_-V)_{nk}|^2}{V_{nn} - V_{kk}} \right], \quad (114)$$

where the prime on the summation sign indicates that  $k$  runs over all values other than  $n$ .

The expansion for  $|\Psi_n^+\rangle$  is

$$\begin{aligned} |\Psi_n^+\rangle = & |\Phi_n^+\rangle + \frac{\lambda}{2} \left[ P_-V|\Phi_n^+\rangle + \sum_k' \frac{(VP_-V)_{kn}}{V_{nn} - V_{kk}} |\Phi_k^+\rangle \right] \\ & + \frac{\lambda^2}{4} \left[ P_-VP_-V|\Phi_n^+\rangle - V_{nn}P_-V|\Phi_n^+\rangle - \frac{1}{2}(VP_-V)_{nn}|\Phi_n^+\rangle \right. \\ & + \sum_k' \left( \frac{(VP_-V)_{kn}}{V_{nn} - V_{kk}} P_-V|\Phi_k^+\rangle - \frac{(VP_-V)_{kn}(VP_-V)_{nn}}{(V_{nn} - V_{kk})^2} |\Phi_k^+\rangle \right. \\ & - \frac{1}{2} \frac{|(VP_-V)_{kn}|^2}{(V_{nn} - V_{kk})^2} |\Phi_n^+\rangle + \frac{(VP_-VP_-V)_{kn}}{V_{nn} - V_{kk}} |\Phi_k^+\rangle \\ & \left. \left. - \frac{(VP_-V)_{kn}V_{nn}}{V_{nn} - V_{kk}} |\Phi_k^+\rangle + \sum_l' \frac{(VP_-V)_{kl}(VP_-V)_{ln}}{(V_{nn} - V_{kk})(V_{nn} - V_{ll})} |\Phi_k^+\rangle \right) \right]. \end{aligned} \quad (115)$$

## APPENDIX B: LETTING $\omega$ APPROACH INFINITY

For most of this paper we have assumed that both  $s$  and  $\omega$  are very large compared to  $S_{UA}$ . We have also assumed that the environment dimension  $N$  becomes arbitrarily large. In this Appendix we consider a different limit. Here  $s$  and  $N$  both remain finite, and we let  $\omega$  go to infinity. Our aim is to show that in this limit, the evolution operator in the ubit model,  $e^{\hat{S}t}$ , becomes equivalent to a corresponding evolution operator of standard quantum theory.

Again, our Stueckelbergian for the whole  $EUA$  system is

$$\hat{S} = -\omega I_E \otimes J_U \otimes I_A + s B_{EU} \otimes I_A + I_E \otimes S_{UA}. \quad (116)$$

Let us now write this operator as

$$\hat{S} = -\omega \hat{J} + \hat{D}, \quad (117)$$

where  $\hat{J} = I_E \otimes J_U \otimes I_A$  and  $\hat{D} = sB_{EU} \otimes I_A + I_E \otimes S_{UA}$ .

We begin by rewriting  $e^{\hat{S}t}$  as follows, using the fact that  $\hat{S}$  is antisymmetric so that  $\hat{S}^T \hat{S} = -\hat{S}^2$ :

$$\begin{aligned} e^{\hat{S}t} &= I + \hat{S}t + \frac{1}{2!} \hat{S}^2 t^2 + \frac{1}{3!} \hat{S}^3 t^3 + \dots \\ &= \left( I - \frac{1}{2!} \hat{S}^T \hat{S} t^2 + \dots \right) + \left( \hat{S}t - \frac{1}{3!} \hat{S} \hat{S}^T \hat{S} t^3 + \dots \right) \\ &= \hat{S} \frac{\sin(\sqrt{\hat{S}^T \hat{S}} t)}{\sqrt{\hat{S}^T \hat{S}}} + \cos(\sqrt{\hat{S}^T \hat{S}} t). \end{aligned} \quad (118)$$

Note that  $\sqrt{\hat{S}^T \hat{S}}$  is well defined since  $\hat{S}^T \hat{S}$  is a positive semi-definite matrix. Now we make the substitution  $\hat{S} = -\omega \hat{J} + \hat{D}$ :

$$\hat{S}^T \hat{S} = (-\omega \hat{J}^T + \hat{D}^T)(-\omega \hat{J} + \hat{D}) = \omega^2 I + \omega(\hat{J} \hat{D} + \hat{D} \hat{J}) - \hat{D}^2. \quad (119)$$

Here we have used the fact that both  $\hat{J}$  and  $\hat{D}$  are antisymmetric. Recall that the part of  $\hat{D}$  that commutes with  $\hat{J}$  can be written as  $\hat{D}_c = (1/2)(\hat{D} - \hat{J} \hat{D} \hat{J})$ . In terms of  $\hat{D}_c$ , we have

$$\hat{S}^T \hat{S} = \omega^2 I + 2\omega \hat{J} \hat{D}_c - \hat{D}^2, \quad (120)$$

so that

$$\sqrt{\hat{S}^T \hat{S}} = \omega \sqrt{I + \frac{2\hat{J} \hat{D}_c}{\omega} - \frac{\hat{D}^2}{\omega^2}}. \quad (121)$$

We can therefore write the evolution operator (Eq. (118)) as

$$e^{\hat{S}t} = \left( -\hat{J} + \frac{\hat{D}}{\omega} \right) \frac{\sin\left(\omega t \sqrt{I + \frac{2\hat{J} \hat{D}_c}{\omega} - \frac{\hat{D}^2}{\omega^2}}\right)}{\sqrt{I + \frac{2\hat{J} \hat{D}_c}{\omega} - \frac{\hat{D}^2}{\omega^2}}} + \cos\left(\omega t \sqrt{I + \frac{2\hat{J} \hat{D}_c}{\omega} - \frac{\hat{D}^2}{\omega^2}}\right). \quad (122)$$

When  $\omega$  is very large, we can expand the square roots in powers of  $1/\omega$ . Ignoring terms of order  $1/\omega$  multiplying the sine, and also ignoring terms of order  $1/\omega$  inside the sine and cosine, we get

$$e^{\hat{S}t} \approx -\hat{J} \sin[(\omega I + \hat{J} \hat{D}_c)t] + \cos[(\omega I + \hat{J} \hat{D}_c)t] = e^{(-\hat{J}\omega + \hat{D}_c)t} = e^{-\hat{J}\omega t} e^{\hat{D}_c t}. \quad (123)$$

Thus, when  $\omega$  is very large, the dynamics effectively separates into two parts: (i) the ubit rotates very rapidly, and (ii) the whole system evolves according to the Stueckelbergian  $\hat{D}_c$ . (These two operations commute with each other.)

We now want to show that this evolution amounts to an ordinary quantum mechanical evolution. The Stueckelbergian  $\hat{D}_c$  is exactly what one would get by starting with the Hamiltonian

$$H = (\hat{D}_c)_{00} + i(\hat{D}_c)_{10} \quad (124)$$

and simply rewriting the same physics in real-vector-space terms as in Section II. Here the indices 0 and 1 are ubit indices as in that section. When we write out the definition of  $\hat{D}$ , this Hamiltonian becomes

$$H = H_{\mathcal{E}} \otimes I_{\mathcal{A}} + I_{\mathcal{E}} \otimes H_{\mathcal{A}}, \quad (125)$$

where  $H_{\mathcal{E}} = s\{[(B_{EU})_c]_{00} + i[(B_{EU})_c]_{10}\}$  and  $H_{\mathcal{A}} = [(S_{UA})_c]_{00} + i[(S_{UA})_c]_{10}$ , and we are using script letters to refer to systems described in terms of a complex vector space. (Again the subscript  $c$  means that we are taking only the part of the operator that commutes with  $\hat{J}$ .) Thus the environment and the local system evolve independently, each according to its own Hamiltonian.

We conclude that, even without letting  $s$  or  $N$  go to infinity, dynamics in the ubit model reduces to the dynamics of standard quantum mechanics as  $\omega$  approaches infinity.

## APPENDIX C: CONSTRUCTING THE ORTHOGONAL TRANSFORMATION $O$

In this Appendix our aim is to find an orthogonal transformation  $O$  on the  $EU$  system such that  $O|\Psi_n^+\rangle\langle\Psi_n^+|O^T = (1/2)|n\rangle\langle n| \otimes (I_U - iJ_U)$ , where the real vectors  $|n\rangle$  constitute an orthonormal basis for the environment. We construct  $O$  in two steps. First, let  $O_1$  be the matrix

$$O_1 = \sum_n (|\Phi_n^+\rangle\langle\Psi_n^+| + |\Phi_n^-\rangle\langle\Psi_n^-|). \quad (126)$$

$O_1$  transforms between two orthonormal bases, so it is unitary. It is also real and therefore orthogonal. Upon applying  $O_1$  to  $|\Psi_n^+\rangle\langle\Psi_n^+|$ , we get

$$O_1|\Psi_n^+\rangle\langle\Psi_n^+|O_1^T = |\Phi_n^+\rangle\langle\Phi_n^+| = |\phi_n^+\rangle\langle\phi_n^+| \otimes |+\rangle\langle +| = \frac{1}{2} |\phi_n^+\rangle\langle\phi_n^+| \otimes (I_U - iJ_U), \quad (127)$$

where we are using the factorization  $|\Phi_n^+\rangle = |\phi_n^+\rangle \otimes |+\rangle$  introduced in Subsection III.C.

Next, let  $U$  be the unitary matrix that takes  $|\phi_n^+\rangle$  to  $|n\rangle$ . That is,  $U = \sum_n |n\rangle\langle\phi_n^+|$ . And let  $O_2$  be the real-vector-space version of  $U$  according to the transcription rules of Section II. That is,

$$O_2 = \text{Re } U \otimes I_U + \text{Im } U \otimes J_U. \quad (128)$$

By rewriting  $U|\phi_n^+\rangle\langle\phi_n^+|U^\dagger = |n\rangle\langle n|$  in real-vector-space terms, we get

$$O_2 (\text{Re } |\phi_n^+\rangle\langle\phi_n^+| \otimes I_U + \text{Im } |\phi_n^+\rangle\langle\phi_n^+| \otimes J_U) O_2^T = |n\rangle\langle n| \otimes I_U. \quad (129)$$

Multiplying both sides of this equation by  $-iI_E \otimes J_U$  (which commutes with  $O_2$ ) gives us

$$O_2 (i \text{Im } |\phi_n^+\rangle\langle\phi_n^+| \otimes I_U - i \text{Re } |\phi_n^+\rangle\langle\phi_n^+| \otimes J_U) O_2^T = -i |n\rangle\langle n| \otimes J_U. \quad (130)$$

Now we add Eqs. (129) and (130) to get

$$O_2 [|\phi_n^+\rangle\langle\phi_n^+| \otimes (I_U - iJ_U)] O_2 = |n\rangle\langle n| \otimes (I_U - iJ_U), \quad (131)$$

in which the left-hand side mirrors the right-hand side of Eq. (127). Finally we define  $O$  to be  $O = O_2 O_1$ . Then Eqs. (127) and (131) imply that

$$O|\Psi_n^+\rangle\langle\Psi_n^+|O^T = \frac{1}{2} |n\rangle\langle n| \otimes (I_U - iJ_U), \quad (132)$$

which is what we wanted to show.

It is interesting to work out the effect of  $O$  on  $S_{EU}$ . We can write  $S_{EU}$  as

$$S_{EU} = -i\omega \sum_n g_n (|\Psi_n^+\rangle\langle\Psi_n^+| - |\Psi_n^-\rangle\langle\Psi_n^-|), \quad (133)$$

where the  $g_n$ 's are eigenvalues of  $G$  as in Subsection III.B. Upon applying  $O$ , we get

$$OS_{EU}O^T = -\omega \left( \sum_n g_n |n\rangle\langle n| \right) \otimes J_U. \quad (134)$$

Thus the transformation  $O$  brings  $S_{EU}$  to a tensor-product form. Any antisymmetric real matrix such as  $S_{EU}$  can be brought to block-diagonal form by an orthogonal transformation, with  $2 \times 2$  blocks proportional to  $J$  [27]. If we take the basis defined by the  $|n\rangle$ 's to be the standard basis for the environment, then  $O$  is an orthogonal transformation that takes  $S_{EU}$  to this form.

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- [32] A linear operator with this property is said to define a *complex structure* on a real vector space [5, 15].
- [33] Alternatively, we could write  $\rho = (1/2)[(1/2)(I_U - Y_U) \otimes \sigma + (1/2)(I_U + Y_U) \otimes \bar{\sigma}]$ , where  $Y$  is the imaginary Pauli matrix and the bar indicates complex conjugation. This form is an extension of the general prescription given in Ref. [21] for bringing a reference frame into the quantum description. In this case the ubit would be the reference frame. We do not use this formulation here because we want to emphasize the possibility of treating the ubit as a rebit rather than as a qubit. For a rebit, we cannot have  $(I \pm Y)/2$  as possible states along with the states  $(I \pm X)/2$  and  $(I \pm Z)/2$ , which we will need.
- [34] We say two matrices  $M_1$  and  $M_2$  are perpendicular when  $\text{Tr } M_1^\dagger M_2 = 0$ .